



***Board of Trustees and Fitchburg State University
Foundation, Inc. Board of Directors Meeting***

Tuesday, March 25, 2014 at 8:00 a.m.
Presidents' Hall, Guglielmi Mazzaferro Center
291 Highland Avenue, Fitchburg, MA

Robert V. Antonucci

PRESIDENT

Briefing

TO: Board of Trustees and Fitchburg State University Foundation, Inc.
Board of Directors

FROM: Dr. Robert V. Antonucci, President

RE: March 25, 2014

OVERVIEW

The meeting of the Board of Trustees and Fitchburg State University Foundation, Inc. Board of Directors will be held on Tuesday, March 25, 2014, at 8:00 a.m. in the Presidents' Hall, Guglielmi Mazzaferro Center, 291 Highland Avenue. This will be a joint meeting with the Trustees and Foundation Directors to discuss topics of mutual interest. We continue the tradition of meeting once a year as a joint group. We are providing one common briefing book. It contains the agenda items for each prospective Board.

Parking will be reserved in the Sanders lot and on Highland Avenue in front of the Admissions building. An officer will be available to assist.

The schedule for **March 25** is as follows:

8:00 a.m.	Joint Meeting	Presidents' Hall
		(Breakfast will be available at 7:30 a.m.)

AGENDA

- I. Call to order by Chairman Carol Vittorioso
- II. Public Comments
- III. The minutes of the Trustee Meeting on January 30, 2014 and the Foundation Board of Directors Meeting of October 9, 2013 are presented for consideration and approval.

Trustee minutes – VOTE – (08-13/14)

Foundation minutes – VOTE – (FB-04-10092013)

- IV. BoardDocs Presentation

Steve Swartz, Chief Information Officer, and Laura Vautour, eGovernance Specialist from BoardDocs, will provide a demo presentation on BoardDocs, a state-of-the-art, cloud-based Governance Document Management Service.

V. Trustee Email Retention Policy

Steve Swartz will discuss email accounts for trustees and email retention policy.

VI. Graduate and Continuing Education Presentation

Linda Richelson, Director of the Center for Professional Studies, and Lisa Moison, Assistant Director of Extended Campus & Professional Studies, will give a brief presentation on graduate and continuing education.

VII. Foundation Committee Reports

Audit Committee – Karen Spinelli, Chair

- 990 Tax Review

VIII. Trustee/Foundation Joint Agenda Items

Discussion of items of mutual interest – President Robert V. Antonucci and Chairman Carol Vittorioso

IX. Notifications - (N04-13/14)

Please refer to the Personnel Notification List enclosed. It includes promotions, new hires, and other changes in accordance with the respective collective bargaining agreements.

X. Tenure Recommendations – VOTE (09-13/14)

In accordance with the provisions of the MSCA Contract, I am recommending tenure for a number of faculty members. See enclosed.

XI. President's Report

- President's Reception, March 29, 2014
- Strategic Plan
- Executive Summary and Financial Statements
- Memo from Commissioner Freeland regarding the Nursing and Allied Health Initiative Grant
- Letter from Family First participant
- Tenure procedures
- Top 10 Ways Technology Can Help You flyer
- Falcon Cable Television Channel Guide
- News articles

XII. Adjournment

If you have any questions or material prior to the meeting please give Carol, Kelli, or me a call.

Future Meetings of the Board of Trustees

Full Board Meeting - Tuesday, April 29, 2014 at 4:00 p.m.

Dinner with Campus Community 5:30 p.m.

Finance & Administration Committee - Thursday, April 17, 2014 at 12:00 p.m.

Agenda

Robert V. Antonucci

PRESIDENT

**FITCHBURG STATE UNIVERSITY BOARD OF TRUSTEES &
FITCHBURG STATE UNIVERSITY FOUNDATION, INC. BOARD OF DIRECTORS MEETING
Tuesday, March 25, 2014
8:00 a.m.
Presidents' Hall, Guglielmi Mazzaferro Center
291 Highland Avenue, Fitchburg, MA 01420**

AGENDA

- I.** Calls to order by Chairman Carol Vittorioso and President Robert V. Antonucci
- II.** Public Comments
- III.** Consideration of minutes from previous meetings
 - a) Board of Trustees Meeting – January 30, 2014 **VOTE (08-13/14)**
 - b) Foundation Board of Directors Meeting – October 9, 2013 **VOTE (FB-04-10092013)**
- IV.** BoardDocs Presentation – Steve Swartz
- V.** Trustee Email Retention Policy – Steve Swartz
- VI.** Graduate and Continuing Education Presentation – Linda Richelson and Lisa Moison
- VII.** Foundation Committee Reports
 - a) Audit Committee – Karen Spinelli, Chair
 - i. 990 Tax Review
- VIII.** Trustee/Foundation Joint Agenda Items
 - a) Discussion of items of mutual interest – President Robert V. Antonucci and Chairman Carol Vittorioso
- IX.** Notifications – **(N04-13/14)**
 - a) Promotions – **(N05-13/14)**
- X.** Tenure Recommendations – **VOTE (09-13/14)**
- XI.** President's Report
 - a) President's Reception, March 29, 2014
 - b) Strategic Plan
 - c) News Articles
- XII.** Adjournment

Future Meetings – Board of Trustees

Thursday, April 17, 2014, at 12:00 p.m. – Finance and Administration Sub-committee

Tuesday, April 29, 2014 at 4:00 p.m. – Board of Trustees

Fitchburg State University is committed to excellence in teaching and learning and blends liberal arts and sciences and professional programs within a small college environment. Our comprehensive public university prepares students to lead, serve, and succeed by fostering lifelong learning and civic and global responsibility. A Fitchburg State education extends beyond our classrooms to include residential, professional, and co-curricular opportunities. As a community resource, we provide leadership and support for the economic, environmental, social, and cultural needs of North Central Massachusetts and the Commonwealth.

Trustee Minutes

**Fitchburg State University
REQUEST FOR BOARD ACTION**

TO: Board of Trustees	DATE: March 25, 2014
FROM: The President	REQUEST NUMBER: 08-13/14
SUBJECT: Minutes from January 30, 2014	

It is requested that the Board of Trustees of Fitchburg State University approve the minutes from the January 30, 2014 meeting.

Minutes of Meeting Held on January 30, 2014 at 12:15 p.m.

FITCHBURG STATE UNIVERSITY BOARD OF TRUSTEES MEETING

Thursday, January 30, 2014

12:15 p.m.

President's Conference Room

Sanders Administration Building

300 Highland Avenue, Fitchburg, MA 01420

Trustees present: Robert Babineau, Jr., Martin F. Connors, Jr., Nathan Gregoire, Don Irving, Michael Mahan, Anthony Mercadante, Frank O'Donnell, Carol Vittorioso

Trustees via phone: Gladys Rodriguez-Parker

Trustees absent: Anna Maria Clementi, Cynthia Stevens

Also present: Robert V. Antonucci, Robin Bowen, Jay Bry, Cathy Canney, Cathy Daggett, Gail Doiron, Chris Hendry, Jessica Murdoch, Michael Shanley, Steven Swartz, Paul Weizer, Gail Feckley, Jenny Fielding, Hank Parkinson, Jennifer Perkins, Commissioner Richard Freeland, Adam Wilbur, Kelli Lundgren, Mary Landi

The meeting was called to order by Chairman Vittorioso at 12:27 p.m.

Chairman Vittorioso opened the meeting requesting any public comments.

President Antonucci welcomed Commissioner Richard Freeland to the meeting.

The consideration of the Board of Trustees minutes from November 12, 2013 was presented. Upon a motion duly noted by Mr. Connors and seconded by Mr. Mahan it was

Voted: via roll call to approve the minutes of the meeting held on November 1, 2013 as
(9-0) presented.

President Antonucci welcomed Richard Freeland, Commissioner of Higher Education, and thanked him for attending the meeting. Commissioner Freeland began by thanking everyone on the board for their service and assured them that Governor Patrick personally appreciates their work on behalf of the university. He also acknowledged the work of President Antonucci.

Commissioner Freeland said it is a pleasure to come to the FSU campus and see the revitalization that has taken place. The science building is a fantastic new resource. The commissioner remarked on the connection the university has with the community and also noted that the campus is very active in many initiatives within the university system state-wide. He has enormous respect for what Fitchburg State University is doing for the campus and state-wide.

Commissioner Freeland stated that after a series of events in 2012, the Board of Higher Education and his office were charged with building stronger relationships with boards of trustees in community colleges and state universities. They are looking to build a more integrated system for public higher education, instead of each campus doing its own thing. Jennifer Perkins is the point person in the Office of Trustee Relations. She works with the various boards to build a line of communication between

boards and the state. Ms. Perkins gets to know the leaders of the boards and attends meetings. The commissioner stated that the governor is fully supportive of this program. The goal is not to build any tension. The board is responsible for the institution; the state counts on that and recognizes the importance of the board's work.

In terms of the state budget, last year was fantastic. This year is not as good but is going in the right direction. Public higher education is at the top of the state's priority list. The governor shows that in his budget. Higher education is the ultimate return on investment. To date, it has not been a goal to have Massachusetts be a national leader in public education.

The commissioner talked about the Vision Project, which has five or six metrics. Our numbers look average compared to other states. The goal is to move the needle on that. Are we making the best use of every dollar? Are we being as efficient as possible? Twenty-eight campuses state-wide is a lot - 28 of everything. There was discussion around the value of data and metrics so the board knows what the numbers are to see if the needle is moving.

Commissioner Freeland said we will want to incorporate the state-wide goals into the strategic plan. We will also want to provide professional development activities around data. The state universities and community colleges should be speaking to the legislature in unified way, sending common messages; all campuses are unique, but there are certain things we are all doing. We are a value to the state and want to draw positive attention to the contributions of the campuses. The commissioner said we want to find a way to come together when necessary and at the same time respect campus autonomy.

Mr. Mahan stated that Jennifer Perkins has been wonderful to work with, and the implementation of the program has been very smooth.

Regarding use of data, Mr. Mahan feels we need to be very careful pushing higher education to not be purely vocational. Commissioner Freeland agreed and said it's easier to measure, but it does miss a lot of the other things. Dr. Babineau asked if there is any discussion on the state or national level about consolidating the level of excellence at a particular campus. The commissioner said it's an appealing idea but an idea that campuses tend to resist because no one wants to be pigeon-holed. However, there could be a middle ground. For instance, UMass Lowell is known for its engineering program. It's something to look at when working on a strategic plan. Having a world class program is a very complex undertaking. There was further discussion on that idea. Chairman Vittorioso stated that geography is a factor, and Mr. Mahan said that fee structure would be an issue as well. A nursing program would be more expensive to run than some other programs. Mr. Irving noted that centralizing might lead to a change of makeup in the boards. We need to find balance. Commissioner Freeland said that too much centralization is not good; states do need systems. It is legitimate for the state to set statewide goals and metrics for campuses. The state will stay out of the operations and management of each university. Energy, passion and loyalty are at the campus level.

Chairman Vittorioso said that when the Office of Trustee Relations was introduced, it also came along with guidelines for presidential evaluations and presidential searches. It seemed intimidating at the time, but it was done seamlessly and now it all makes sense. Once implemented, it worked. The commissioner stated the governor is pleased with the outcome. The Board of Higher Education will work with the universities around a formula on allocating resources. There was a discussion around the state budgeting system for state universities and community colleges – incremental budget system. Options are that it is based on enrollment or based on performance. The community college formula is

a hybrid of enrollment and performance. Commissioner Freeland noted that we are moving toward greater equity. He also said he has been asked by the governor to have a similar conversation with the universities. President Antonucci agreed that we need to work toward that and it should work. Commissioner Freeland expressed his appreciation for the positive comments from the board members. Collaboration is good for the system.

Next on the agenda was the Board of Trustees self-assessment review for the NEASC report. Dr. Paul Weizer informed the board that the New England Association of Schools and Colleges (NEASC) report that is due in March is complete but needs some refinement. Included in the NEASC report is the Board of Trustees self-assessment survey. Dr. Weizer briefly reviewed the survey noting that everything was positive on the report. Mr. Connors noted that question 16 on the Board of Trustees self-assessment survey – *Board members are given adequate orientation and training* – had the lowest number of “strongly agrees.” It was agreed that a glossary would be helpful as well as a list of acronyms. Also, there is a need to expand the survey to include a little more narrative and to get more input from the greater campus community. A subcommittee to the board will be formed to work on this. Jennifer Perkins will send some samples of other surveys to Chairman Vittorioso.

Dr. W. Arnold Yasinski, Vice President for Financial Affairs and Treasurer of Willamette University, had planned to be in attendance to discuss strategic planning with the Board of Trustees; however, President Antonucci informed the group that Dr. Yasinski was unable to be with us today due to medical reasons. Dr. Larry Large, associate of Dr. Yasinski, joined the meeting via Facetime. Dr. Large began by stating that the university is in the beginning stages of the strategic plan process, and he and Dr. Yasinski are consultants to that process. Dr. Robin Bowen is the lead.

Strategic Planning has to be done in a way that works for FSU. The question for the Board of Trustees is - *How do we oversee the change?* Dr. Large reviewed the makeup of the executive planning committee. The strategic planning committee would be a larger committee with sub-committees. Sub-committees open up the process to involvement by many more people. White papers would be presented and a draft strategic plan written. The final plan is negotiated with the president and then the board votes on the plan.

The planning schedule is rigorous. Meetings need to be focused, have a lot of energy, and be informed by the best research. There should be a separate email address and website for posting of meetings, public forums, etc. Late August into January and February is the timeframe. The plan needs to be Fitchburg’s plan. Ms. Vittorioso asked how soon the executive planning committee and the strategic planning committee should be organized. Dr. Large replied that it is in the schedule section. President Antonucci stated we will seek volunteers to serve on the committees. He also thanked Dr. Large for his presentation today.

Dr. Bowen joined the committee at the table. She talked a bit about Dr. Large’s background. He has been a university president and has been in higher education for over 40 years as an educator and administrator. Dr. Bowen has worked with Dr. Large in the past while at another institution. This work will be on FSU’s 2015-2020 strategic plan. Dr. Large and Dr. Yasinski have met with various groups on campus already. Online learning, retention, graduation rates, finance, technology, marketing, admissions, and interaction with the community are some items that will be looked at. The final plan has to be approved by the board of trustees. Mr. Connors asked Dr. Bowen if we are starting with the old plan or with a clean piece of paper. Dr. Bowen responded that we would begin with a clean piece of paper, but there will be some things that will be moved over.

The Board next reviewed the sabbatical requests. Mr. Mahan reported that the Academic Affairs sub-committee met prior to the Board of Trustees meeting at 11:30 to discuss the sabbaticals. Questions were asked during the meeting, mostly around the number of sabbaticals that are being requested this time around. Mr. Mahan gave an overview of what happened in the sub-committee meeting and stated that the sub-committee voted unanimously to approve the sabbaticals. Next year there will be about the same number of requests, and then we will see a drop off. President Antonucci stated that we have not had any issues/problems with sabbaticals.

Upon a motion duly noted by Mr. Irving and seconded by Mr. Gregoire it was

Voted: via roll call to approve the sabbatical requests.
(9-0)

President Antonucci directed the attention of the Board of Trustees to the documents at the end of their book. Those documents included personnel action notifications for new hires, job changes/adjustments, retirements, resignations, reappointments, etc. They also included the following:

- a) Memo from Commissioner Freeland re: Presidential salary increase
- b) Memo re: NCATE
- c) Board of Trustee reappointments
- d) Chemistry Major
- e) Mission statements
- f) Financial statements
- g) News articles

The president reported that under a new ruling agreements/settlements (worker's comp, etc.) must be made public. Also, Landry Arena is not in good shape; we are losing money on it. No decisions have been made as to its future status. A study is currently in process. Any complaints should be forwarded to the president's office.

President Antonucci requested a motion for the Board of Trustees to enter into Executive Session.

Upon a motion duly noted by Mr. Mahan and seconded by Mr. Gregoire, it was voted by roll call (9-0) to enter into executive session at 2:05 p.m. to consider the purchase of real property and to discuss honorary degrees. Open session will not reconvene following the conclusion of executive session.

With no further business before the Board, the meeting was adjourned at 2:05 p.m.

Respectfully submitted:

Anna Maria Clementi, Clerk
Board of Trustees

Foundation Minutes

**Fitchburg State University
REQUEST FOR BOARD ACTION**

TO: Foundation Board of Directors	DATE: March 25, 2014
FROM: The President	REQUEST NUMBER: FB-04-10092013
SUBJECT: Minutes from October 9, 2013	

It is requested that the Board of Trustees of Fitchburg State University approve the minutes from the October 9, 2013 meeting.

BOARD OF DIRECTORS MEETING
Wednesday, October 9, 2013 at 8:00 a.m.
Presidents' Hall, Mazzaferro Center
Minutes

Present: President Robert V. Antonucci, Todd Black, David Celuzza, Gina Cuccovia-Simoneau, William Heitin, Paul Maguire, John O'Brien, Joseph Sova, Karen Spinelli, Carol Vittorioso, Gregory Wheeler

Absent: Mark Bingham, Robin Bowen, Jay Bry, John Dombrowski, Scott Foster, Cheryl Gaudreau, Richard Healey, David Rodgers

Ex-Officio: Christopher Hendry

Also Attending: Emily Austin-Bruns, Stan Bucholc, Cathy Canney, Brenda Coleman, Gail Doiron, Karen Frank Mays, Carolyn Garrahan, Michael Kushmerek, Heidi Swift, Kelly Sylvia, Paul Weizer

The meeting was called to order at 8:05 a.m. by President Robert V. Antonucci who welcomed and thanked the directors for coming. President Antonucci touched on the many successes that have marked the start of the academic year.

Election of new Board Member

President Antonucci introduced John O'Brien, the new director, for election.

Upon a motion made by Todd Black and seconded by Paul Maguire the directors voted unanimously (10-0) to approve the new director, John O'Brien. (Request #FB-02-100913)

Consideration of Minutes of February 5, 2013

Upon a motion made by David Celuzza and seconded by Todd Black the directors voted unanimously (11-0) to accept the minutes of the Foundation Board of Directors meeting held on February 5, 2013. (Request #FB-01-100913)

Development Committee Report

- Development Committee Chairman, Paul Maguire presented the FY13 Fundraising Final Numbers report.

- Vice President Christopher Hendry presented the 2013 Scholarship Golf Tournament P&L Report.

Executive Committee Report

- Vice President Christopher Hendry reported that we will provide the proposed budget for FY2015 in advance of next year's board meeting.
- President Antonucci spoke about the President's Initiative which is budgeted annually at \$50,000. These funds support student projects such as film, Model UN and Moot Court.
- President Antonucci touched upon the Westfield State University situation saying that all state universities will now face closer scrutiny regarding expenditures, but expressed confidence that our audits will remain irreproachable and, our admissions numbers will not be affected by this issue. The President pointed out that at Fitchburg State, the University President is the President of the Foundation, and that looking forward, it may be wise to consider having a separate President of the Foundation. President Antonucci appointed Vice President Christopher Hendry as contact between the University and the Office of Inspector General regarding information requests surrounding Foundation expenditures from 2008-2013. This request is being made to each of the state universities (excluding Westfield State).

Finance Committee Report

***Upon a motion made by Todd Black and seconded by David Celuzza the directors voted unanimously (11-0) to accept the 2014 budget.
(Request #FB-03-100913)***

Supporting Organization Report

- Vice President Christopher Hendry reported that the purchase of 188-190 Highland Avenue was in progress. The land is a vacant lot measuring .124 acres and located halfway between the campus and the proposed President's House. The purpose of the purchase would be to support redevelopment efforts in the university neighborhood.
- The property at 131 Highland Avenue has been purchased for potential use as the future President's home. President Antonucci reported that renovations are currently underway on the house.
- The property at 340 Highland Avenue has been purchased to be used for Game Design faculty offices.

President's Goals

The President's Goals for the 2013-2014 Academic Year have been presented to and approved by the Board of Trustees at the September 10, 2013 Board of Trustees meeting.

Conflict of Interest

President Antonucci indicated that the conflict of interest statements could be found in the board packet. Christopher Hendry requested that the perforated statements should be signed today and returned to Carolyn Garrahan.

President's Report – Dr. Robert V. Antonucci

President Antonucci shared a PowerPoint presentation touching upon the following topics;

- Goals
- Fall 2013 Admissions and Enrollment
- Evaluating Colleges
- Student Teacher Ratios
- Exit Tests
- Capital Projects
- New Highland Ave Plaza

President Antonucci went on to say that board member vacancies need to be filled and asked for suggestions of nominees.

When asked to comment further on enrollment, President Antonucci shared the following:

- Undergraduate day enrollment has capped at 3800
- Goal is to stay at 3800 but a challenge is posed by the decreasing K - 12 population resulting from lower birth rates.

Vice President Christopher Hendry added that the board plays a role in the clean-up of the neighborhood which strengthens our competitive edge for recruitment purposes, while Carol Vittorioso cited that we are the only public institution in New England that offers the Game Design major.

With no further business, a unanimous (11-0) motion was passed to adjourn the meeting at 9:15 a.m.: Motion William Heitin; seconded by Todd Black.

Respectfully submitted by Carolyn Garrahan

BoardDocs Presentation

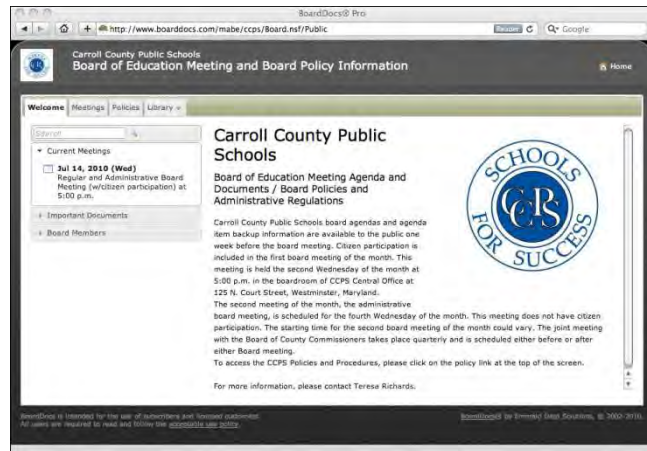


Executive Overview

Introduction

BoardDocs® Pro is a state-of-the-art, cloud-based Governance Document Management Service from Emerald Data Solutions™. Developed specifically for governing bodies, BoardDocs provides a means of immediately publishing and revising agenda items, supporting documents, policies and procedures via the Internet. Staff Members can quickly and easily create, approve and track agenda items and other correspondence. BoardDocs services offer governing bodies a simple way to eliminate paper-based and less advanced electronic processes while maintaining a searchable, legal repository for all documents.

BoardDocs improves governance by making documents readily available to governing bodies, designated staff and the public in a professional, easy-to-access format. Staff maintains total control over who sees what information - and when. Governance stakeholders have immediate and ubiquitous access to their data via most Internet-connected devices. No third-party apps are required because BoardDocs is platform-independent and looks, feels and functions the same across both mobile and stationary devices.



BoardDocs goes far beyond email, PDF quick-fixes and general-purpose cloud services like Google Drive. It is a turn-key, state-of-the-art solution that includes all supervision, labor, materials, hosting, hardware, licensing, training, technical support, upgrades and documentation necessary to install and implement an effective electronic board document management system. With BoardDocs, there's no need to incur the cost of purchasing and supporting a thick client infrastructure; the organization need only provide Internet-connected devices to access the service.

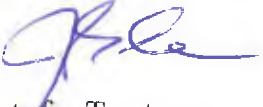
Benefits Summary

In addition to dramatic improvements in governance processes and board effectiveness, our subscribers consistently report substantial annual cost savings, time-of-staff savings of up to 75%, increased transparency with stakeholders and multiple environmental benefits.

Trustee Email Accounts

James B. Cox
Direct Dial: 617-330-7089
E-mail: J.Cox@rubinrudman.com

MEMORANDUM

To: State University Presidents, Vincent Pedone
From: James B. Cox 
Re: E-mail Accounts for Trustees
Date: February 18, 2014

The Supervisor of Records recently criticized one of the state universities because it had not managed and retained university-related email written or received by Trustees on their personal email accounts. One solution to this criticism is for the state university to provide email accounts to Trustees that are backed-up on the university's servers, and to instruct trustees to use only their university accounts for all university business.

The comments from the Supervisor of Records, a division of the Secretary of the Commonwealth's office, arise from the controversy between Westfield State University and its former president. The attorney representing the former president made a public record request of several trustees seeking email correspondence between the trustee and various people such as media representatives and state officials for a three year period. The attorney also sought telephone records showing communication between the trustee and these same individuals. One trustee declined to provide any records, contending he was a volunteer, his email account was personal, and his personal account could not contain public records. The attorney appealed. The Supervisor disagreed with the trustee, concluding that as a special state employee, a trustee's university-related email constituted public records that must be produced, even though the medium was a personal account. After reaching this conclusion, the Secretary faulted the university's not maintaining and backing up the electronic e-mail files. In addition, the university was reminded to store copies of all written public records created by a trustee.

It appears that a university issued and maintained email account is the easiest way for the school to comply with obligations imposed by state law. In addition, it is advisable that the universities endeavor to compile and store old email the trustees may have on their personal email accounts that pertain to university business.

Please contact me if you have any questions or comments.

Graduate and Continuing Education Presentation



Center for Professional Studies & Extended Campus

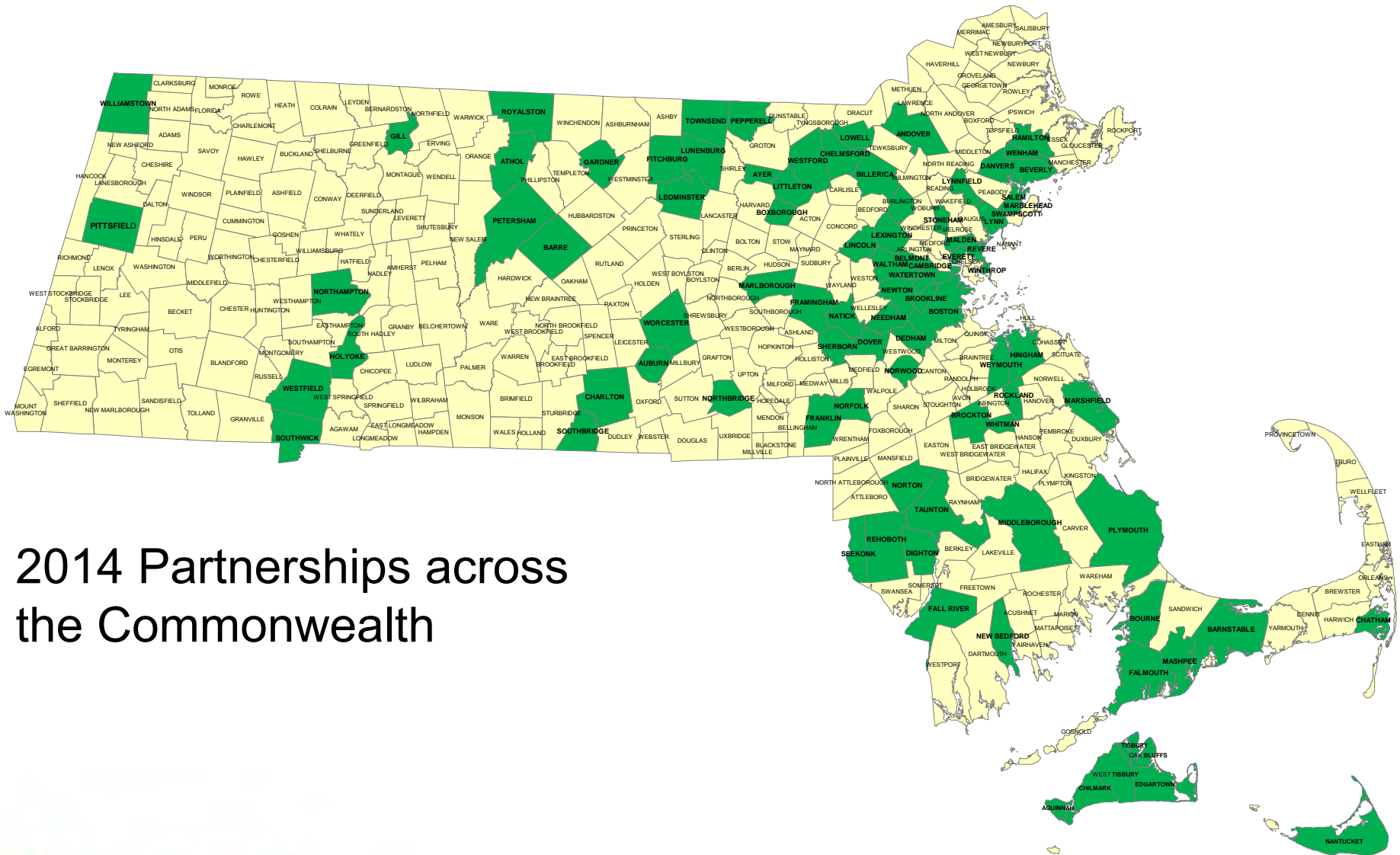
INSTITUTES AND WORKSHOPS

DEGREE GRANTING PARTNERS

**Center for Professional Studies
&
Extended Campus**

PROFESSIONAL DEVELOPMENT

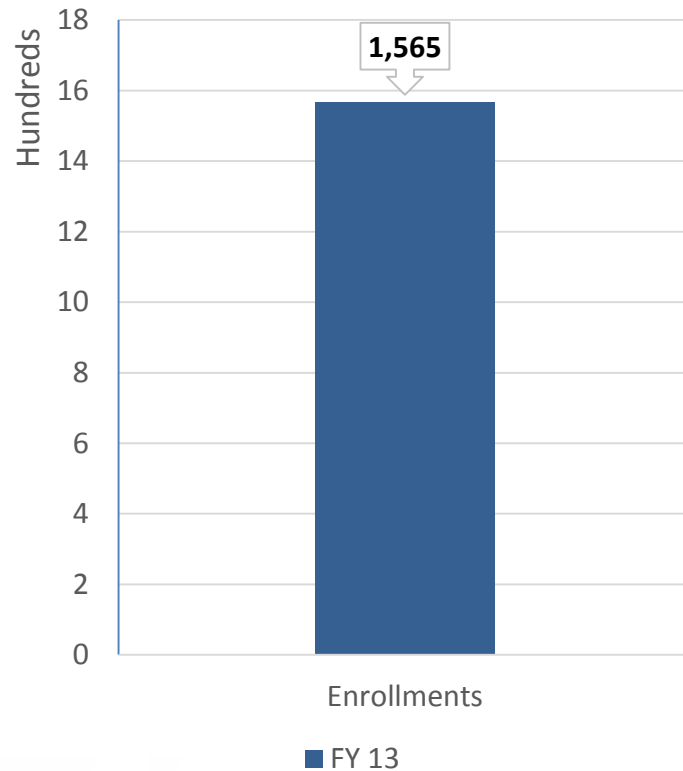
COMMUNITY PARTNERSHIPS



2014 Partnerships across the Commonwealth

Center for Professional Studies

**ENROLLMENT
FY 2013**



**REVENUE
FY 2013**



Center for Professional Studies

Contributors to FY 2014 Revenue

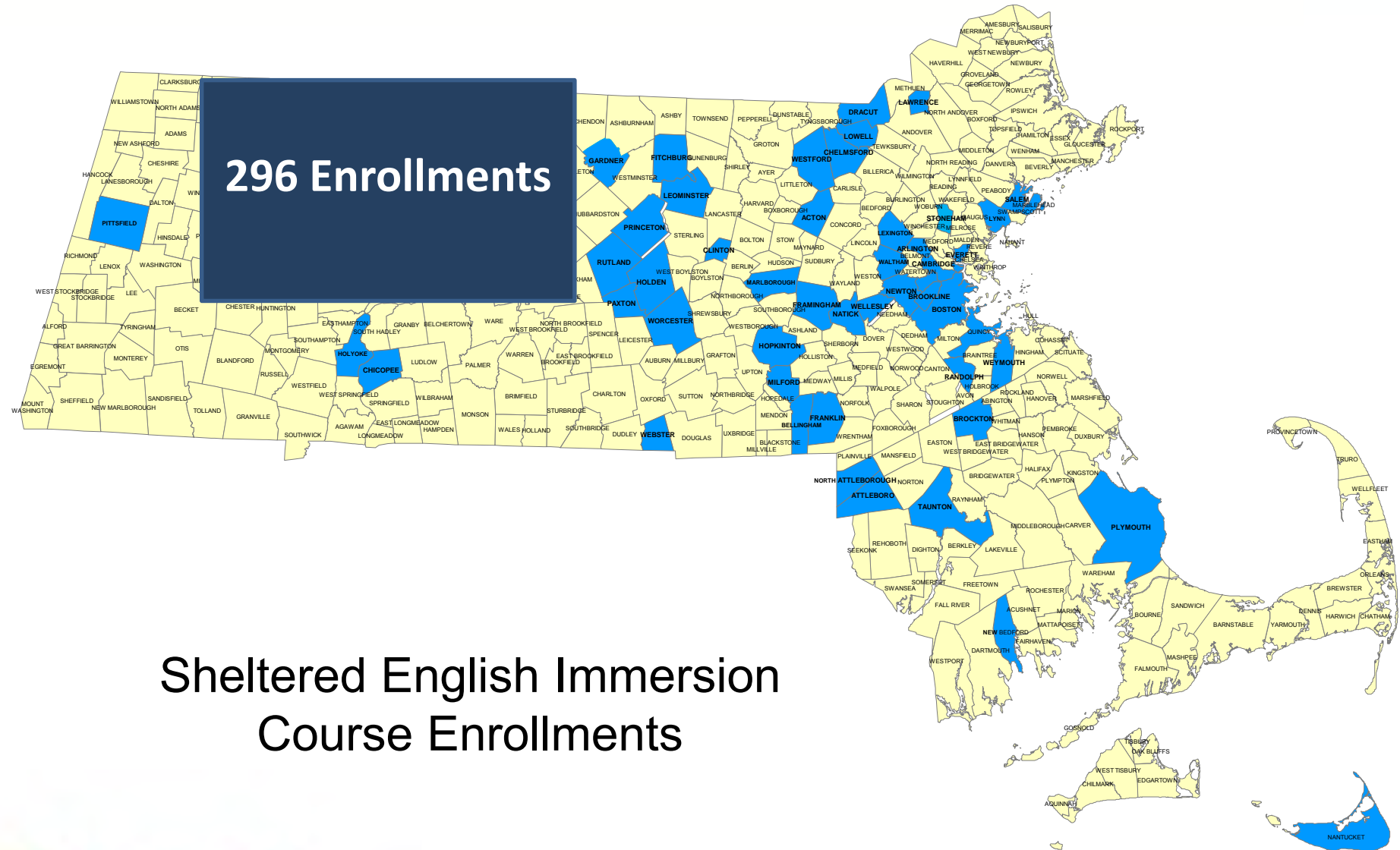
• Advanced Placement Institute	\$140,480
• Landmark School	\$55,590
• Sheltered English Immersion	\$30,265
• Just Ask Publications	\$21,640
• Federation for Children with Special Needs	\$22,550
• Bay State Reading Initiative	\$7,975
• Massachusetts Teachers Association	\$7,755

Center for Professional Studies Institutes and Workshops

- Advanced Placement Institute
- Fine Arts Director Institute
- Department of Elementary and Secondary Education (DESE) Content Institutes and Licensure Academies
- Distinguished Speaker Series
- Massachusetts Test for Educator Licensure (MTEL) Preparation Workshops

296 Enrollments

Sheltered English Immersion Course Enrollments



Degree Partners

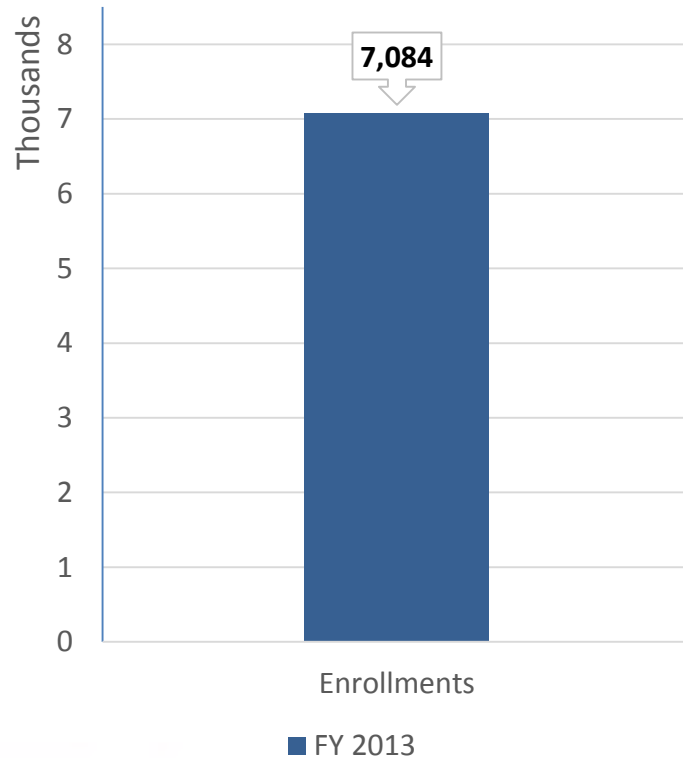
- **Catherine Leahy-Brine Educational Consultants**
 - South Shore
- **Collaborative for Educational Services**
 - Berkshires
- **Lowell Public Schools**
 - Lowell
- **Massachusetts Association of Vocational Administrators**
 - Across the Commonwealth
- **Northeast Consortium for Staff Development**
 - Merrimack Valley and North Shore

Extended Campus Professional Development Partners

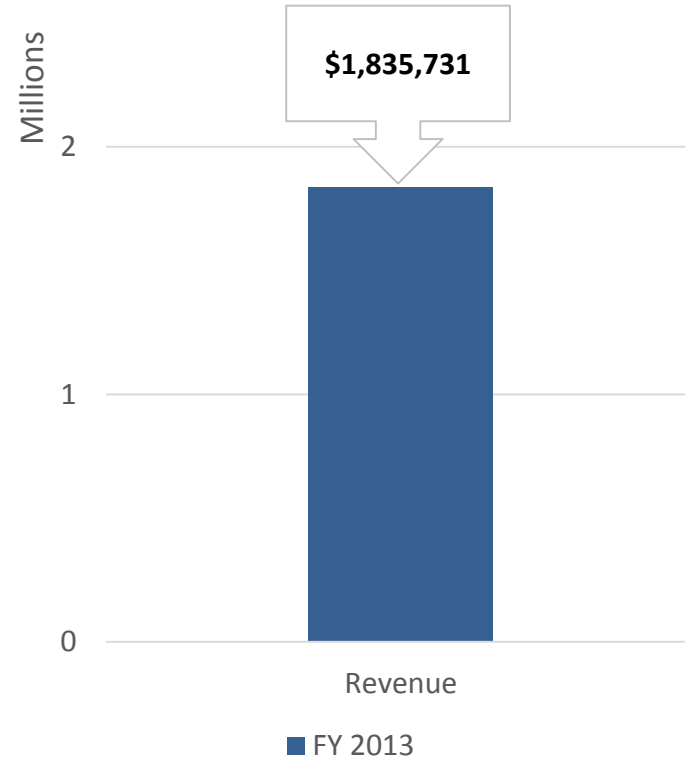
- Wilson Language Training
- Research for Better Teaching
- Walden Woods Project
- Perkins School for the Blind
- Worcester Art Museum

Extended Campus

**ENROLLMENT
FY 2013**



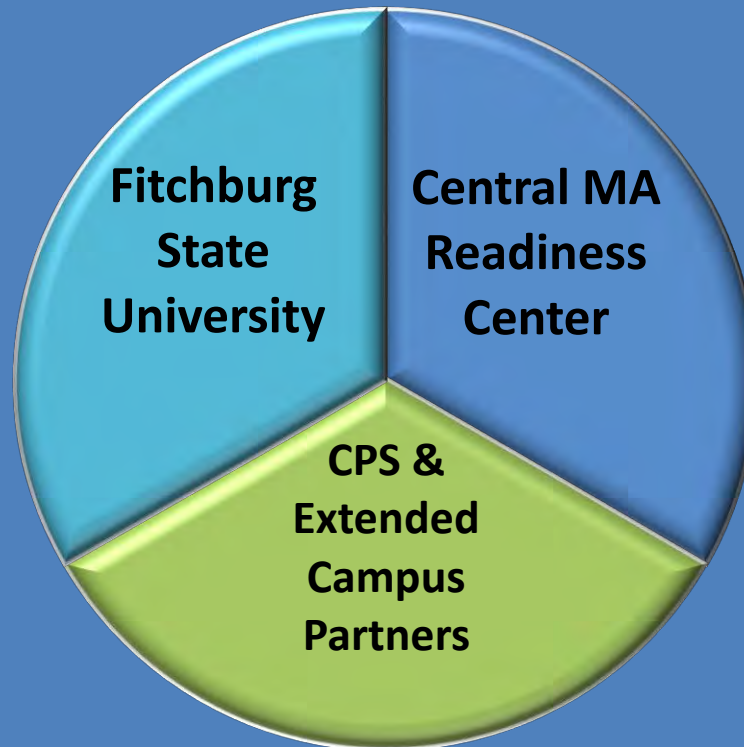
**REVENUE
FY 2013**



New Partners

- Theodore R.Sizer Teachers Center--*Devens*
- Center for Applied Special Technology--*Wakefield*
- Museum Institute for Teaching Science--*Quincy*
- New England Aquarium--*Boston*

Grants

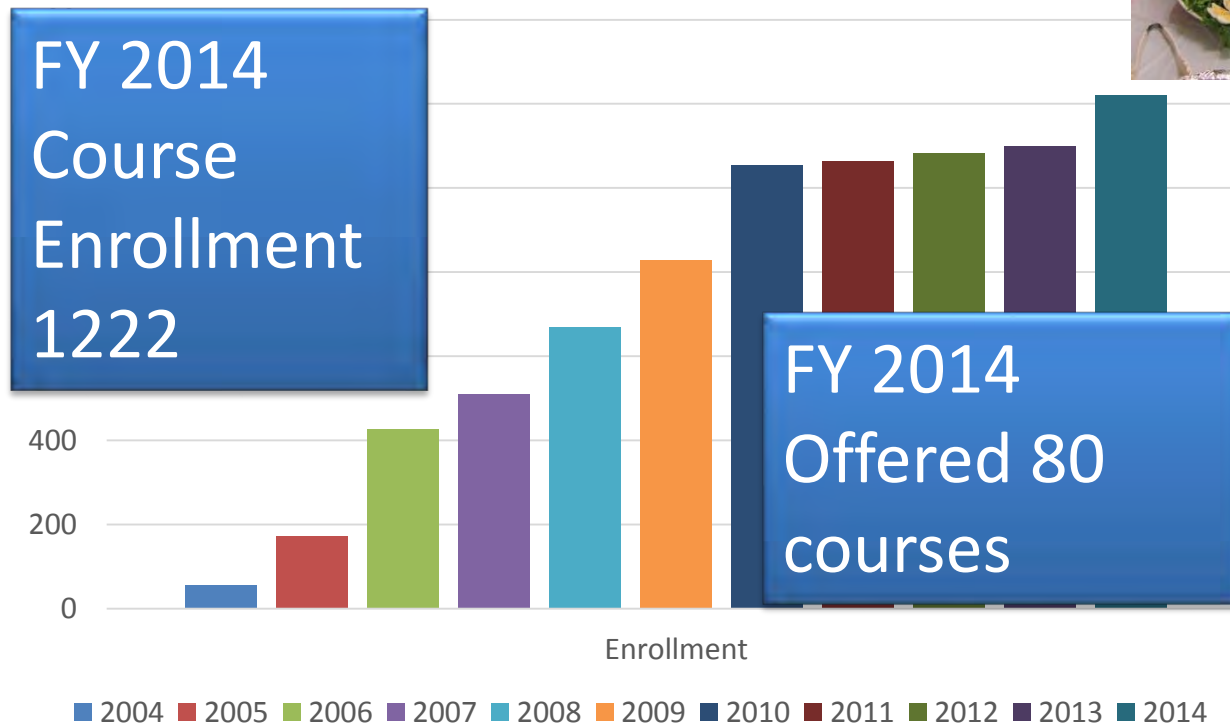


University Collaborations

- **Behavioral Sciences Department**
 - Next Generation Leadership Summit for Public Safety Professionals-- Summer 2014
- **Business Department**
 - Chamber of Commerce Leadership Academy--Fall 2013
- **Biology/Chemistry Department**
 - New England Association of Chemistry Teachers Annual Conference-- Summer 2014
- **Education Unit**
 - Multiple initiatives and grants--ongoing
- **Mathematics Department**
 - Math Licensure Academy Grant--Spring 2014

Adult Learning in the Fitchburg Area (ALFA)

Enrollment 2004-2014



322 meetings this year

Community members who use our facility

COMMUNITY

Congresswoman Niki Tsongas
Boston Museum of Science
Fitchburg Police Department
LUK Crisis Center
Twin Cities CDC

UNIVERSITY

Adult Learning in the Fitchburg Area
AmeriCorps
Central Mass Readiness Center
Early Learning Challenge Grant
REDI Center
TESEL Grant

EDUCATION COMMUNITY

Collaborative for Educational
Services
Department of Elementary and
Secondary Education
District and School Assistance
Centers
Fitchburg Public Schools
McKay Arts Academy Governing
Board
National Institute for School
Leadership

Moving Forward

- Explore new program opportunities
- Expand community partnerships
- Strengthen and grow Academic Department collaborations
- Continue to work with our current partners to provide quality programming across the Commonwealth



Thank You

Foundation 990 Tax Review

Fitchburg State University Foundation, Inc.
FSU Foundation Supporting Organization, Inc.
Executive Summary
Federal Form 990, *Return of Organizations Exempt From Income Tax*
Year Ended June 30, 2013

- Enclosed for your review, are the federal Form 990s for the Foundation and the Supporting Org.
- This is an annual information return that most public charities are required to file.
- There is a separate 990 filed for each entity.
- The return is meant to fully describe an organization's programs and accomplishments.
- Each return consists of the 990 itself (pages 1 – 12) and a series of schedules completed as a result of questions answered on pages 3 – 6.
- The financial information reported in the 990 comes from the audited financial statements.
- The various schedules are unique to each entity and present information regarding:
 - Public support of the organization
 - Governance
 - Contributions
 - Fundraising activities
 - Compensation
 - Related party transactions, which are the transactions between the University, the Foundation and the Supporting Org

Form **990**Department of the Treasury
Internal Revenue Service**Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

OMB No. 1545-0047

2012Open to Public
Inspection**A** For the 2012 calendar year, or tax year beginning July 1, 2012, and ending June 30, 2013

B Check if applicable:	<input type="checkbox"/> Address change	C Name of organization Fitchburg State University Foundation, Inc.	D Employer identification number 04-2661048
	<input type="checkbox"/> Name change	Doing Business As	E Telephone number 9786653298
	<input type="checkbox"/> Initial return	Number and street (or P.O. box if mail is not delivered to street address) Room/suite c/o Jay D Bry, 160 Pearl Street	
	<input type="checkbox"/> Terminated	City, town or post office, state, and ZIP code Fitchburg, MA 01420	G Gross receipts \$ 4,065,275.00
	<input type="checkbox"/> Amended return	F Name and address of principal officer: Jay Bry Vice President of Finance	H(a) Is this a group return for affiliates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No H(b) Are all affiliates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list. (see instructions)
<input type="checkbox"/> Application pending		I Tax-exempt status: <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) () ◀ (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527	H(c) Group exemption number ▶ N/A
J Website: ▶			
K Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶			
L Year of formation: 1978 M State of legal domicile: MA			

Part I Summary

Activities & Governance	1 Briefly describe the organization's mission or most significant activities: <u>To support the educational endeavors of Fitchburg State University</u>		
	2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3 Number of voting members of the governing body (Part VI, line 1a)	3	18
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4	15
	5 Total number of individuals employed in calendar year 2012 (Part V, line 2a)	5	0
	6 Total number of volunteers (estimate if necessary)	6	0
Revenue	7a Total unrelated business revenue from Part VIII, column (C), line 12	7a	45,132.00
	b Net unrelated business taxable income from Form 990-T, line 34	7b	32,586.00
		Prior Year	Current Year
	8 Contributions and grants (Part VIII, line 1h)	3,568,755.00	996,031.00
	9 Program service revenue (Part VIII, line 2g)	236,949.00	285,840.00
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	307,838.00	308,980.00
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	2,699.00	-805.00
	12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	4,116,241.00	1,590,046.00
	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	228,509.00	366,963.00
	Expenses	14 Benefits paid to or for members (Part IX, column (A), line 4)	
15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)			
16a Professional fundraising fees (Part IX, column (A), line 11e)			
b Total fundraising expenses (Part IX, column (D), line 25) ▶ 49917			
17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)		3,704,432.00	1,034,706.00
18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)		3,932,941.00	1,401,669.00
19 Revenue less expenses. Subtract line 18 from line 12		183,300.00	188,377.00
Net Assets or Fund Balances		Beginning of Current Year	End of Year
	20 Total assets (Part X, line 16)	15,310,211.00	16,321,681.00
	21 Total liabilities (Part X, line 26)	628,195.00	625,703.00
	22 Net assets or fund balances. Subtract line 21 from line 20	14,682,016.00	15,695,978.00

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer	Date			
	Robert V Antonucci	President			
Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	Firm's name ▶	Firm's EIN ▶			
	Firm's address ▶	Phone no.			
	May the IRS discuss this return with the preparer shown above? (see instructions)				

Yes No

For Paperwork Reduction Act Notice, see the separate instructions.

Form **990** (2012)

Part III Statement of Program Service AccomplishmentsCheck if Schedule O contains a response to any question in this Part III ☐**1** Briefly describe the organization's mission:

To support the educational endeavors of Fitchburg State University

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No
If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No
If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 366,963.00 including grants of \$ 366,963.00) (Revenue \$)
Scholarships, grants and awards

4b (Code:) (Expenses \$ 511,664.00 including grants of \$) (Revenue \$ 185,532.00)
Support of University departments, programming and initiatives

4c (Code:) (Expenses \$ 199,409.00 including grants of \$) (Revenue \$ 55,176.00)
Alumni events and cultural programming

4d Other program services (Describe in Schedule O.)

(Expenses \$ including grants of \$) (Revenue \$)

4e Total program service expenses ► 1,078,036.00

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	<input type="checkbox"/>	<input checked="" type="checkbox"/>
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	<input type="checkbox"/>	<input checked="" type="checkbox"/>
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	<input type="checkbox"/>	<input checked="" type="checkbox"/>
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	<input type="checkbox"/>	<input checked="" type="checkbox"/>
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	<input type="checkbox"/>	<input checked="" type="checkbox"/>
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	<input type="checkbox"/>	<input checked="" type="checkbox"/>
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V	<input checked="" type="checkbox"/>	<input type="checkbox"/>
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b Did the organization report an amount for investments-other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	<input type="checkbox"/>	<input checked="" type="checkbox"/>
c Did the organization report an amount for investments-program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	<input type="checkbox"/>	<input checked="" type="checkbox"/>
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX	<input type="checkbox"/>	<input checked="" type="checkbox"/>
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	<input type="checkbox"/>	<input checked="" type="checkbox"/>
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	<input type="checkbox"/>	<input checked="" type="checkbox"/>
12 a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII	<input type="checkbox"/>	<input checked="" type="checkbox"/>
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	<input checked="" type="checkbox"/>	<input type="checkbox"/>
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	<input type="checkbox"/>	<input checked="" type="checkbox"/>
14 a Did the organization maintain an office, employees, or agents outside of the United States?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	<input type="checkbox"/>	<input checked="" type="checkbox"/>
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If "Yes," complete Schedule F, Parts II and IV	<input type="checkbox"/>	<input checked="" type="checkbox"/>
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? If "Yes," complete Schedule F, Parts III and IV	<input type="checkbox"/>	<input checked="" type="checkbox"/>
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	<input type="checkbox"/>	<input checked="" type="checkbox"/>
20 a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	<input type="checkbox"/>	<input checked="" type="checkbox"/>
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	<input type="checkbox"/>	<input type="checkbox"/>

Form 990 (2012)

Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II.	X	
22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III.	X	
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J.	X	
24 a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25.		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25 a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I.		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I.		X
26 Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II.		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III.		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV.		X
b A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV.		X
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV.		X
29 Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M.		X
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M.		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I.		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II.		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I.		X
34 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1.	X	
35 a Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2.		
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2.	X	
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI.		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O.	X	

Form 990 (2012)

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Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response to any question in this Part V.

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable.		
1b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.		
1c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	X	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return.		
2b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?		
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	X	
3b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O.	X	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	X	
4b	If "Yes," enter the name of the foreign country: <u>VARIOUS</u>		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		X
5b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		X
5c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?		X
6b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
7	Organizations that may receive deductible contributions under section 170(c).		
7a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	X	
7b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	X	
7c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		X
7d	If "Yes," indicate the number of Forms 8282 filed during the year.	N/A	
7e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		X
7f	Did the organization receive any funds, directly or indirectly, on a personal benefit contract?		X
7g	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		
7h	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?		X
9a	Sponsoring organizations maintaining donor advised funds. Did the organization make any taxable distributions under section 4966?		X
9b	Did the organization make a distribution to a donor, donor advisor, or related person?		X
10	Section 501(c)(7) organizations. Enter:		
10a	Initiation fees and capital contributions included on Part VIII, line 12	N/A	
10b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	N/A	
11	Section 501(c)(12) organizations. Enter:		
11a	Gross income from members or shareholders	N/A	
11b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	N/A	
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?		
12b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	N/A	
13	Section 501(c)(29) qualified nonprofit health insurance issuers.		
13a	Is the organization licensed to issue qualified health plans in more than one state?		
13b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	N/A	
13c	Enter the amount of reserves on hand	N/A	
14a	Did the organization receive any payments for indoor tanning services during the tax year?		X
14b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.		

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI. ☒ **X**

Section A. Governing Body and Management

	Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year. 1a 18		
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O. 1b 15		
b Enter the number of voting members included in line 1a, above, who are independent		
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person? . . .		X
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		X
5 Did the organization become aware during the year of a significant diversion of the organization's assets?		X
6 Did the organization have members or stockholders?		X
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		X
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a The governing body?	X	
b Each committee with authority to act on behalf of the governing body?	X	
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a Did the organization have local chapters, branches, or affiliates?		X
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? . . .		
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? . .	X	
b Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a Did the organization have a written conflict of interest policy? If "No," go to line 13	X	
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	X	
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	X	
13 Did the organization have a written whistleblower policy?	X	
14 Did the organization have a written document retention and destruction policy?	X	
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official		X
b Other officers or key employees of the organization		X
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		X
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed **Massachusetts & New York**

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.

☐ Own website ☐ Another's website ☒ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: **Jay Bry 160 Pearl Street, Fitchburg, MA 01420 978-665-3298**

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent ContractorsCheck if Schedule O contains a response to any question in this Part VII ☐**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees****1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) David Rodgers Treasurer	0	x		x				0.00	0.00	0.00
(2) Cheryl Gaudreau Clerk	0	x		x				0.00	0.00	0.00
(3) Marc Bingham	0	x						0.00	0.00	0.00
(4) Todd Black	0	x						0.00	0.00	0.00
(5) Anthony Cali	0	x						0.00	0.00	0.00
(6) Gina Cuccovia-Simoneau	0	x						0.00	0.00	0.00
(7) John Dombrowski	0	x						0.00	0.00	0.00
(8) Steven Duvarney	0	x						0.00	0.00	0.00
(9) William Heitin	0	x						0.00	0.00	0.00
(10) Michael Lorden	0	x						0.00	0.00	0.00
(11) Paul Maguire	0	x						0.00	0.00	0.00
(12) Karen Spinelli	0	x						0.00	0.00	0.00
(13) Carol Vittoriosio	0	x						0.00	0.00	0.00
(14) Gregory Wheeler	0	x						0.00	0.00	0.00

Form 990 (2012)

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(15) Robert V Antonucci President	40	x			x				244,901	31,705
(16) Robin Bowen Vice President & Provost	40	x				x			169,327	17,045
(17) Jay Bry Vice President of Finance & Administration	40	x				x			130,839	6,481
(18) Christopher Hendry Vice President of Advancement	40	x				x			137,523	8,947
(19) Stephen Swartz Chief Information Officer	40					x			136,650	23,827
(20) Pamela McCafferty Dean of Enrollment Management	40					x			114,583	20,822
(21) Shirley Wagner Associate Vice President (retired)	0						x		124,801	10,443
(22)										
(23)										
(24)										
(25)										
1b Sub-total									1,058,624	119,270
c Total from continuation sheets to Part VII, Section A									1,058,624	119,270
d Total (add lines 1b and 1c)										

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **0**

- 3 Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual
- 4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual
- 5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person

	Yes	No
3	x	
4	x	
5		x

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
None		

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **0**

Form 990 (2012)

Part VIII Statement of RevenueCheck if Schedule O contains a response to any question in this Part VIII. ☐

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns	1a	5,012			
	b	Membership dues	1b				
	c	Fundraising events	1c	60,591			
	d	Related organizations	1d				
	e	Government grants (contributions)	1e	7,175			
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	923,253			
	g	Noncash contributions included in lines 1a-1f: \$		17,500			
	h	Total. Add lines 1a-1f		996,031			
Program Service Revenue	2a	Commissions/Sales	Business Code	45,132		45,132	
	b	Alumni & Cultural Events	524928	55,176	55,176		
	c	Departemnt Programs		185,532	185,532		
	d						
	e						
	f	All other program service revenue		285,840			
	g	Total. Add lines 2a-2f					
	3	Investment income (including dividends, interest, and other similar amounts)		312,926			312,926
4	Income from investment of tax-exempt bond proceeds		3,970			3,970	
Other Revenue	5	Royalties	(i) Real (ii) Personal				
	6a	Gross rents					
	b	Less: rental expenses					
	c	Rental income or (loss)					
	d	Net rental income or (loss)					
	7a	Gross amount from sales of assets other than inventory	(i) Securities (ii) Other				
	b	Less: cost or other basis and sales expenses					
	c	Gain or (loss)					
	d	Net gain or (loss)					
	8a	Gross income from fundraising events (not including \$ 60,591 of contributions reported on line 1c). See Part IV, line 18	a	29,325			
	b	Less: direct expenses	b	38,542			
	c	Net income or (loss) from fundraising events		-9,217			-9,217
	9a	Gross income from gaming activities. See Part IV, line 19	a				
	b	Less: direct expenses	b				
	c	Net income or (loss) from gaming activities					
	10a	Gross sales of inventory, less returns and allowances	a				
	b	Less: cost of goods sold	b				
	c	Net income or (loss) from sales of inventory					
Miscellaneous Revenue			Business Code				
11a	CSV Life Insurance		4,442			4,442	
b							
c							
d	All other revenue		4,442				
e	Total. Add lines 11a-11d		1,590,046	240,708	45,132	308,175	
12	Total revenue. See instructions						

Form 990 (2012)

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response to any question in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the United States. See Part IV, line 21	128,183	128,183		
2	Grants and other assistance to individuals in the United States. See Part IV, line 22	238,780	238,780		
3	Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16				
4	Benefits paid to or for members				
5	Compensation of current officers, directors, trustees, and key employees				
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7	Other salaries and wages				
8	Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)				
9	Other employee benefits				
10	Payroll taxes				
11	Fees for services (non-employees):				
a	Management				
b	Legal				
c	Accounting	13,977		13,977	
d	Lobbying				
e	Professional fundraising services. See Part IV, line 17				
f	Investment management fees	80,067		80,067	
g	Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.)				
12	Advertising and promotion				
13	Office expenses	254,237	195,362	10,744	48,131
14	Information technology	42,565	7,244	35,321	
15	Royalties				
16	Occupancy				
17	Travel	158,718	150,771	7,326	621
18	Payments of travel or entertainment expenses for any federal, state, or local public officials				
19	Conferences, conventions, and meetings	81,002	65,059	14,949	994
20	Interest	27,917		27,917	
21	Payments to affiliates				
22	Depreciation, depletion, and amortization	40,867	11,915	28,952	
23	Insurance	34,213		34,213	
24	Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a	Community Service	15,154	15,154		
b	Speakers & Cultural Events	113,028	113,028		
c	Outside Services	143,364	143,364		
d				
e	All other expenses	29,597	9,176	20,250	171
25	Total functional expenses. Add lines 1 through 24e	1,401,669	1,078,036	273,716	49,917
26	Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720)				

Form 990 (2012)

Part X Balance Sheet

Check if Schedule O contains a response to any question in this Part X

		(A) Beginning of year		(B) End of year	
		5	1	6	
Assets	1 Cash - non-interest-bearing	2,171,383	2	2,396,757	
	2 Savings and temporary cash investments	343,800	3	240,339	
	3 Pledges and grants receivable, net	37,453	4	34,123	
	4 Accounts receivable, net				
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5		
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L		6		
	7 Notes and loans receivable, net		7		
	8 Inventories for sale or use		8		
	9 Prepaid expenses and deferred charges		9		
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	1,094,281			
	10b Less: accumulated depreciation	475,073	634,413	10c	619,208
	11 Investments - publicly traded securities	11,563,560	11	12,601,685	
	12 Investments - other securities. See Part IV, line 11		12		
	13 Investments - program-related. See Part IV, line 11		13		
	14 Intangible assets	559,597	14		
	15 Other assets. See Part IV, line 11	15,310,211	15	429,563	
16 Total assets. Add lines 1 through 15 (must equal line 34)	64,043	16	16,321,681		
Liabilities	17 Accounts payable and accrued expenses		17	56,730	
	18 Grants payable		18		
	19 Deferred revenue	65,224	19	104,729	
	20 Tax-exempt bond liabilities		20		
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21		
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22		
	23 Secured mortgages and notes payable to unrelated third parties	498,928	23	464,244	
	24 Unsecured notes and loans payable to unrelated third parties		24		
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D		25		
	26 Total liabilities. Add lines 17 through 25	628,195	26	625,703	
	Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
27 Unrestricted net assets		3,026,818	27	3,146,862	
28 Temporarily restricted net assets		3,145,828	28	3,655,765	
29 Permanently restricted net assets		8,509,370	29	8,893,351	
Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.					
30 Capital stock or trust principal, or current funds			30		
31 Paid-in or capital surplus, or land, building, or equipment fund			31		
32 Retained earnings, endowment, accumulated income, or other funds			32		
33 Total net assets or fund balances		14,682,016	33	15,695,978	
34 Total liabilities and net assets/fund balances.		15,310,211	34	16,321,681	

Form 990 (2012)

Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response to any question in this Part XI ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	1,590,046
2	Total expenses (must equal Part IX, column (A), line 25)	2	1,401,669
3	Revenue less expenses. Subtract line 2 from line 1	3	188,377
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	14,682,016
5	Net unrealized gains (losses) on investments	5	825,585
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	15,695,978

Part XII Financial Statements and ReportingCheck if Schedule O contains a response to any question in this Part XII ☒

- 1 Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other _____
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.

- 2a Were the organization's financial statements compiled or reviewed by an independent accountant?
If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:

☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis

- b Were the organization's financial statements audited by an independent accountant?
If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:

☐ Separate basis ☒ Consolidated basis ☐ Both consolidated and separate basis

- c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?
If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.

- 3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?

- b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits

	Yes	No
2a		X
2b	X	
2c	X	
3a		X
3b		

Form **990** (2012)

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

2012

Open to Public
Inspection

Name of the organization

Employer identification number

04-2661048

Fitchburg State University Foundation, Inc.

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
- 2 ☐ A school described in section 170(b)(1)(A)(ii). (Attach Schedule E.)
- 3 ☐ A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii). Enter the
- 4 ☐ A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: _____
- 5 ☒ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
- 7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 8 ☐ A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 9 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.)
- 10 ☐ An organization organized and operated exclusively to test for public safety. See section 509(a)(4).
- 11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box that describes the type of supporting organization and complete lines 11e through 11h.
- a ☐ Type I b ☐ Type II c ☐ Type III-Functionally integrated d ☐ Type III-Non-functionally integrated
- e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
- f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐
- g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?
- (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? ☐
- (ii) A family member of a person described in (i) above? ☐
- (iii) A 35% controlled entity of a person described in (i) or (ii) above? ☐
- h Provide the following information about the supported organization(s).

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
(A)									
(B)									
(C)									
(D)									
(E)									
Total									

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2012

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
 (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	560,349	1,540,394	685,490	746,355	996,030	4,528,618
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	560,349	1,540,394	685,490	746,355	996,030	4,528,618
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f).						1,308,445
6 Public support. Subtract line 5 from line 4.						3,220,173

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
7 Amounts from line 4	560,349	1,540,394	685,490	746,355	996,030	4,528,618
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	269,668	236,639	189,772	316,435	312,926	1,325,440
9 Net income from unrelated business activities, whether or not the business is regularly carried on	20,158	29,730	31,029	27,402	32,586	140,905
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 Total support. Add lines 7 through 10						5,994,963
12 Gross receipts from related activities, etc. (see instructions)					12	1,268,493
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						<input type="checkbox"/>

Section C. Computation of Public Support Percentage

14 Public support percentage for 2012 (line 6, column (f) divided by line 11, column (f))	14	53.7146%
15 Public support percentage from 2011 Schedule A, Part II, line 14	15	56.1833%
16a 33 1/3% support test - 2012. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		<input checked="" type="checkbox"/>
b 33 1/3% support test - 2011. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		<input checked="" type="checkbox"/>
17a 10%-facts-and-circumstances test - 2012. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization		<input type="checkbox"/>
b 10%-facts-and-circumstances test - 2011. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization		<input type="checkbox"/>
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions		<input type="checkbox"/>

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II.
If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b.						
8 Public support (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
9 Amounts from line 6.						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2012 (line 8, column (f) divided by line 13, column (f)).	15	%
16 Public support percentage from 2011 Schedule A, Part III, line 15.	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2012 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2011 Schedule A, Part III, line 17	18	%

19a **33 1/3% support tests - 2012.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3% support tests - 2011.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶ ☐

Part IV

Supplemental Information. Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions.)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No. 1545-0047

2012

Name of the organization

Employer identification number

Fitchburg State University Foundation, Inc.

04-2661048

Organization type (check one):

Filers of:**Section:**

Form 990 or 990-EZ

☒ 501(c)(3) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☐ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐
- For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

- ☐
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use
- exclusively*
- for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

- ☐
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use
- exclusively*
- for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an
- exclusively*
- religious, charitable, etc., purpose. Do not complete any of the parts unless the
- General Rule**
- applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization Fitchburg State University Foundation, Inc.	Employer identification number 04-2661048
---	--

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Gallucci Cirio Remainder Trust 3655 N 5th Avenue, Unit 212 Phoenix, AZ 85013	\$ 315,061	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
2	Community Foundation of North Central Massachusetts 285 John Fitch Highway Fitchburg MA 01420	\$ 55,331	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
3	Greater Worcester Community Foundation 370 Main Street Worcester MA 01608	\$ 75,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
4	George I Alden Trust 370 Main Street Worcester MA 01608	\$ 100,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
5	Donald R Irving 103 N Common Road Westminster MA 01473	\$ 25,201	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
6	Randy E Robertshaw 23755 Country Road 71 Bovey MN 55709	\$ 24,500	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization	Employer identification number
Fitchburg State University Foundation, Inc.	04-2661048

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$-----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$-----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$-----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$-----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$-----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$-----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$-----	-----

Name of organization

Employer identification number

Fitchburg State University Foundation, Inc.

04-2661048

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry.For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990,
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No. 1545-0047

2012

Open to Public
Inspection

Name of the organization

Fitchburg State University Foundation, Inc.

Employer identification number

04-2661048

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?		<input type="checkbox"/> Yes <input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?		<input type="checkbox"/> Yes <input type="checkbox"/> No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

<input type="checkbox"/> Preservation of land for public use (e.g., recreation or education)	<input type="checkbox"/> Preservation of an historically important land area
<input type="checkbox"/> Protection of natural habitat	<input type="checkbox"/> Preservation of a certified historic structure
<input type="checkbox"/> Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1 ▶ \$ _____

(ii) Assets included in Form 990, Part X ▶ \$ 39,025

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenues included in Form 990, Part VIII, line 1 ▶ \$ _____

b Assets included in Form 990, Part X ▶ \$ _____

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule D (Form 990) 2012

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a ☒ Public exhibition

b ☐ Scholarly research

c ☒ Preservation for future generations

d ☐ Loan or exchange programs

e ☐ Other _____

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☒ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

	Amount
c Beginning balance	1c
d Additions during the year	1d
e Distributions during the year	1e
f Ending balance	1f

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII. ☐ Yes ☐ No

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	9,989,854	9,662,669	8,575,516	6,997,482	7,414,823
b Contributions	404,560	189,108	150,717	1,089,140	177,670
c Net investment earnings, gains, and losses	563,031	138,077	936,437	537,892	-570,847
d Grants or scholarships					
e Other expenditures for facilities and programs	-25,022			-48,998	-24,164
f Administrative expenses					
g End of year balance	10,982,468	9,989,854	9,662,669	8,575,516	6,997,482

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

a Board designated or quasi-endowment ☐ %

b Permanent endowment ☒ 100.0000 %

c Temporarily restricted endowment ☐ %

The percentages in lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

(i) unrelated organizations ☐ ☒

(ii) related organizations ☐ ☒

	Yes	No
3a(i)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
3a(ii)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
3b	<input type="checkbox"/>	<input type="checkbox"/>

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R? ☐ Yes ☐ No

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		134,290		134,290
b Buildings		466,943	63,181	403,762
c Leasehold improvements				
d Equipment		493,048	411,892	81,156
e Other				

Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).) ☐ 619,208

Part VII Investments - Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other _____		
(A) _____		
(B) _____		
(C) _____		
(D) _____		
(E) _____		
(F) _____		
(G) _____		
(H) _____		
(I) _____		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

Part VIII Investments - Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) _____		
(2) _____		
(3) _____		
(4) _____		
(5) _____		
(6) _____		
(7) _____		
(8) _____		
(9) _____		
(10) _____		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1) _____	
(2) _____	
(3) _____	
(4) _____	
(5) _____	
(6) _____	
(7) _____	
(8) _____	
(9) _____	
(10) _____	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶	

Part X Other Liabilities. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) _____	
(3) _____	
(4) _____	
(5) _____	
(6) _____	
(7) _____	
(8) _____	
(9) _____	
(10) _____	
(11) _____	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	

2. FIN 48 (ASC 740) Footnote. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII. ☐

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements	1	3,024,640
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains on investments	2a	825,586
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII.)	2d	570,466
e	Add lines 2a through 2d	2e	1,396,052
3	Subtract line 2e from line 1	3	1,628,588
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII.)	4b	-38,542
c	Add lines 4a and 4b	4c	-38,542
5	Total revenue. Add lines 3 and 4c . (This must equal Form 990, Part I, line 12.)	5	1,590,046

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements	1	1,755,050
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII.)	2d	353,381
e	Add lines 2a through 2d	2e	353,381
3	Subtract line 2e from line 1	3	1,401,669
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	
5	Total expenses. Add lines 3 and 4c . (This must equal Form 990, Part I, line 18.)	5	1,401,669

Part XIII Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Part III, line 4 - Art collection is on display at Fitchburg State

University for the enjoyment of students, faculty, staff and the

general public. Part V, line 4 - The institution has approximately 75

donor restricted restricted funds that are primarily for scholarships

& cultural actiti activities. Part XI, line 2d - revenue of affiliate

included in consolidated financial statements. Part XI, line 4b -

direct fund raising expenses reported in Part VIII, line 8b. Part

XII, line 2d - expenses of affiliate \$314,839. Direct fundraising expenses \$38,542.

Part XIII Supplemental Information *(continued)*

Lined area for supplemental information.

Department of the Treasury
Internal Revenue Service
Name of the organization

Fundraising or Gaming Activities
Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

Open to Public Inspection

04-2661048

Name of the organization
Fitchburg State University Foundation, Inc.

Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17.

Fundraising Activities. Complete if the organization has conducted fundraising activities during the year.
Form 990-EZ filers are not required to complete this part.

1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.

1. Indicate whether the organization raised funds through any of the following:
- | | | | |
|----------------------------|----------------------------------|----------------------------|---------------------------------------|
| a <input type="checkbox"/> | Mail solicitations | e <input type="checkbox"/> | Solicitation of non-government grants |
| b <input type="checkbox"/> | Internet and email solicitations | f <input type="checkbox"/> | Solicitation of government grants |
| c <input type="checkbox"/> | Phone solicitations | g <input type="checkbox"/> | Special fundraising events |
| d <input type="checkbox"/> | In-person solicitations | | |

2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☐ Yes ☐ No

	(v) Amount paid to	(vi) Amount paid to

b If "Yes," list the fundraiser compensated at least \$5,000 by the organization.						
(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						

Total

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events
		Golf Tournament (event type)	(event type)	(total number)	(add col. (a) through col. (c))
Revenue	1 Gross receipts	89,916.00			89,916.00
	2 Less: Contributions	60,591.00			60,591.00
	3 Gross income (line 1 minus line 2)	29,325.00			29,325.00
Direct Expenses	4 Cash prizes				
	5 Noncash prizes				
	6 Rent/facility costs	12,231.00			12,231.00
	7 Food and beverages	14,423.00			14,423.00
	8 Entertainment				
	9 Other direct expenses	11,888.00			11,888.00
	10 Direct expense summary. Add lines 4 through 9 in column (d)				(38,542.00)
	11 Net income summary. Combine line 3, column (d), and line 10				-9,217.00

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
Revenue	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	Yes _____ % No	Yes _____ % No	Yes _____ % No	
	7 Direct expense summary. Add lines 2 through 5 in column (d)				()
	8 Net gaming income summary. Combine line 1, column d, and line 7				

9 Enter the state(s) in which the organization operates gaming activities: _____

a Is the organization licensed to operate gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain: _____

10 a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain: _____

- 11** Does the organization operate gaming activities with nonmembers? ☐ Yes ☐ No
- 12** Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? ☐ Yes ☐ No
- 13** Indicate the percentage of gaming activity operated in:
- | | | |
|--------------------------------------|------------|---|
| a The organization's facility | 13a | % |
| b An outside facility | 13b | % |
- 14** Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ _____

Address ▶ _____

- 15 a** Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ Yes ☐ No
- b** If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ _____ and the amount of gaming revenue retained by the third party ▶ \$ _____
- c** If "Yes," enter name and address of the third party:

Name ▶ _____

Address ▶ _____

16 Gaming manager information:

Name ▶ _____

Gaming manager compensation ▶ \$ _____

Description of services provided ▶ _____

☐ Director/officer ☐ Employee ☐ Independent contractor

17 Mandatory distributions:

- a** Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ Yes ☐ No
- b** Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ _____

Part IV **Supplemental Information.** Complete this part to provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**
Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

OMB No. 1545-0047

2012

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service
Name of the organization

Fitchburg State University Foundation, Inc.

Employer identification number

04-2661048

Part I General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ Yes ☐ No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1)	Fitchburg State University 160 Pearl Street, Fitchburg MA 01420	043138437		16,183				teach italian language
(2)	Fitchburg State University 160 Pearl Street, Fitchburg, MA 01420	043138437		100,000				purchase scientific equipm
(3)								
(4)								
(5)								
(6)								
(7)								
(8)								
(9)								
(10)								
(11)								
(12)								

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table

3 Enter total number of other organizations listed in the line 1 table

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2012)

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1 Excellence in Teaching Award	1	3,000			
2 Faculty Research	1	3,000			
3 Communication Media Award	2	819			
4 Italian Studies Abroad	8	23,300			
5 Fitchburg State University Scholarships	150+	208,661			
6					
7					

Part IV **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

Part I, line 2 - Personnel from Fitchburg State University, a related organization, determines recipients of scholarships, awards and grants based on established criteria. University personnel monitors payments to individuals and groups.

**SCHEDULE J
(Form 990)**

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest
Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990,
Part IV, line 23.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No. 1545-0047

2012

**Open to Public
Inspection**

Name of the organization

Fitchburg State University Foundation, Inc.

Employer identification number

04-2661048

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

☐ First-class or charter travel

☐ Travel for companions

☐ Tax indemnification and gross-up payments

☐ Discretionary spending account

☐ Housing allowance or residence for personal use

☐ Payments for business use of personal residence

☐ Health or social club dues or initiation fees

☐ Personal services (e.g., maid, chauffeur, chef)

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?

3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

☐ Compensation committee

☐ Independent compensation consultant

☐ Form 990 of other organizations

☐ Written employment contract

☐ Compensation survey or study

☐ Approval by the board or compensation committee

4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

a Receive a severance payment or change-of-control payment?

b Participate in, or receive payment from, a supplemental nonqualified retirement plan?

c Participate in, or receive payment from, an equity-based compensation arrangement?

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.

5 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

a The organization?

b Any related organization?

If "Yes" to line 5a or 5b, describe in Part III.

6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

a The organization?

b Any related organization?

If "Yes" to line 6a or 6b, describe in Part III.

7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III.

8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.

9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

Yes No

1b

2

4a

4b

4c

5a

5b

6a

6b

7

8

9

X

X

X

X

X

X

X

X

X

Schedule J (Form 990) 2012

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

	(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1	Robert V Antonucci, President	(i) 243,325.00		(iii) 1,576.00		31,705.00	276,606.00	
2	Robin Bowen, Vice President	(i) 169,327.00				17,045.00	186,372.00	
3	Shirley Wagner	(i) 124,801.00			4,472.00	5,971.00	135,244.00	
4		(i)						
5		(i)						
6		(i)						
7		(i)						
8		(i)						
9		(i)						
10		(i)						
11		(i)						
12		(i)						
13		(i)						
14		(i)						
15		(i)						
16		(i)						

Schedule J (Form 990) 2012

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Area with horizontal dashed lines for supplemental information.

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

OMB No. 1545-0047

2012

**Open to Public
Inspection**

Name of the organization

Fitchburg State University Foundation, Inc.

Employer identification number

04-2661048

Part VI, section B, line 11b - Form 990 is prepared by internal
Accounting personnel of Fitchburg State University, a related
organization. The form is reviewed by the President, Vice President
of Finance and the organization's audit committee. The final Form
990 is then presented to the Board of Directors prior to submission
to the Internal Revenue Service.

Part VI, section B, line 12c - Each officer, director and trustee is
given a copy of the Conflict of Interest Policy. All are required to
complete and sign a Conflict of Interest Disclosure Statement
annually. The statements are returned to Fitchburg State University's
Human Resource Office. Fitchburg State University Foundation, Inc has
no direct employees. However, employees of Fitchburg State University,
a related organization, are mandated to follow Massachusetts General
Law, Chapter 268A, Conduct of Public Officials and Employees.

Part VI, section C, line 19 - Fitchburg State University Foundation,
Inc. makes its governing documents available upon request. The
documents are also available on Fitchburg State University's website.

Employer identification number

04-2661048

JSA

**SCHEDULE R
(Form 990)**

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No. 1545-0047

2012

Open to Public
Inspection

Name of the organization

Fitchburg State University Foundation, Inc.

Employer identification number

04-2661048

Part I Identification of Disregarded Entities (Complete if the organization answered "Yes" to Form 990, Part IV, line 33.)

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) -----					
(2) -----					
(3) -----					
(4) -----					
(5) -----					
(6) -----					

Part II Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section (if section 501(c)(3))	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?
(1) Fitchburg State University 04-3138437 160 Pearl Street, Fitchburg MA 01420	education	Massachusetts	170 (b) (1) (A) IV2			X
(2) FSU Foundation Supporting Organization 04-3491990 160 Pearl Street, Fitchburg MA 01420	support FSU	Massachusetts	501 (c) (3)	11a		X
(3) -----						
(4) -----						
(5) -----						
(6) -----						
(7) -----						

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2012

Part III Identification of Related Organizations Taxable as a Partnership (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1) _____												
(2) _____												
(3) _____												
(4) _____												
(5) _____												
(6) _____												
(7) _____												

Part IV Identification of Related Organizations Taxable as a Corporation or Trust (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Per- centage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No
(1) _____									
(2) _____									
(3) _____									
(4) _____									
(5) _____									
(6) _____									
(7) _____									

Schedule R (Form 990) 2012

Part V Transactions With Related Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34, 35b, or 36.)**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

	Yes	No
a Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity		<input checked="" type="checkbox"/>
b Gift, grant, or capital contribution to related organization(s)		<input checked="" type="checkbox"/>
c Gift, grant, or capital contribution from related organization(s)		<input checked="" type="checkbox"/>
d Loans or loan guarantees to or for related organization(s)		<input checked="" type="checkbox"/>
e Loans or loan guarantees by related organization(s)		<input checked="" type="checkbox"/>
f Dividends from related organization(s)		<input checked="" type="checkbox"/>
g Sale of assets to related organization(s)		<input checked="" type="checkbox"/>
h Purchase of assets from related organization(s)		<input checked="" type="checkbox"/>
i Exchange of assets with related organization(s)		<input checked="" type="checkbox"/>
j Lease of facilities, equipment, or other assets to related organization(s)		<input checked="" type="checkbox"/>
k Lease of facilities, equipment, or other assets from related organization(s)		<input checked="" type="checkbox"/>
l Performance of services or membership or fundraising solicitations for related organization(s)		<input checked="" type="checkbox"/>
m Performance of services or membership or fundraising solicitations by related organization(s)		<input checked="" type="checkbox"/>
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)		<input checked="" type="checkbox"/>
o Sharing of paid employees with related organization(s)		<input checked="" type="checkbox"/>
p Reimbursement paid to related organization(s) for expenses		<input checked="" type="checkbox"/>
q Reimbursement paid by related organization(s) for expenses		<input checked="" type="checkbox"/>
r Other transfer of cash or property to related organization(s)		<input checked="" type="checkbox"/>
s Other transfer of cash or property from related organization(s)		<input checked="" type="checkbox"/>

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of other organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) Fitchburg State University	1b	100,000	cash
(2) FSU Foundation Supporting Organization	1d	2,168,158	OS loan balance
(3) Fitchburg State University	1p	121,890	cash
(4)			
(5)			
(6)			

Part VI Unrelated Organizations Taxable as a Partnership (Complete if the organization answered "Yes" on Form 990, Part IV, line 37.)

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under section 512-514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	
(1) _____													
(2) _____													
(3) _____													
(4) _____													
(5) _____													
(6) _____													
(7) _____													
(8) _____													
(9) _____													
(10) _____													
(11) _____													
(12) _____													
(13) _____													
(14) _____													
(15) _____													
(16) _____													

Schedule R (Form 990) 2012

Part VII

Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule R (see instructions).

[illegible]

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

OMB No. 1545-0047

2012

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

A For the 2012 calendar year, or tax year beginning July 1, 2012, and ending June 30, 2013**B** Check if applicable:

- ☐ Address change
☐ Name change
☐ Initial return
☐ Terminated
☐ Amended return
☐ Application pending

C Name of organization

FSU Foundation Supporting Organization, Inc.

Doing Business As

Number and street (or P.O. box if mail is not delivered to street address)

Room/suite

c/o Jay D. Bry - 160 Pearl Street

City, town or post office, state, and ZIP code

Fitchburg, MA 01420

F Name and address of principal officer:**D** Employer identification number

04-3491990

E Telephone number

978-665-3298

G Gross receipts \$**H(a)** Is this a group return for affiliates? ☐ Yes ☒ No**H(b)** Are all affiliates included? ☐ Yes ☐ No

If "No," attach a list. (see instructions)

I Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) () ◀ (insert no.) ☐ 4947(a)(1) or ☐ 527**J** Website: ▶**H(c)** Group exemption number ▶ n/a**K** Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶ **L** Year of formation: 1999 **M** State of legal domicile: MA**Part I** Summary

Activities & Governance	1	Briefly describe the organization's mission or most significant activities: <u>To support the educational endeavors of Fitchburg State University and Fitchburg State University Foundation Inc.</u>			
	2	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.			
	3	Number of voting members of the governing body (Part VI, line 1a)	3	4	
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4	4	
	5	Total number of individuals employed in calendar year 2012 (Part V, line 2a)	5	0	
	6	Total number of volunteers (estimate if necessary)	6	0	
	Revenue	7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	
7b		Net unrelated business taxable income from Form 990-T, line 34	7b	0.00	
			Prior Year	Current Year	
8		Contributions and grants (Part VIII, line 1h)			
9		Program service revenue (Part VIII, line 2g)	541,873.00	569,975.00	
10		Investment income (Part VIII, column (A), lines 3, 4, and 7d)	637.00	491.00	
11		Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)			
12		Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	542,510.00	570,466.00	
Expenses		13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)		
		14	Benefits paid to or for members (Part IX, column (A), line 4)		
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)			
	16a	Professional fundraising fees (Part IX, column (A), line 11e)			
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶			
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	341,750.00	314,838.00	
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	341,750.00	314,838.00	
	19	Revenue less expenses. Subtract line 18 from line 12	200,760.00	255,628.00	
Net Assets or Fund Balances			Beginning of Current Year	End of Year	
	20	Total assets (Part X, line 16)	4,422,635.00	4,725,974.00	
	21	Total liabilities (Part X, line 26)	3,320,527.00	3,368,238.00	
22	Net assets or fund balances. Subtract line 21 from line 20	1,102,108.00	1,357,736.00		

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer

Date

Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if self-employed

PTIN

Firm's name ▶

Firm's EIN ▶

Firm's address ▶

Phone no.

May the IRS discuss this return with the preparer shown above? (see instructions) ☐ Yes ☐ No

For Paperwork Reduction Act Notice, see the separate instructions.

Form **990** (2012)

Part III Statement of Program Service AccomplishmentsCheck if Schedule O contains a response to any question in this Part III ☐**1** Briefly describe the organization's mission:

The organizations exempt purpose is to support the educational endeavors of
Fitchburg State University

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.**4a** (Code:) (Expenses \$307,235.00 including grants of \$) (Revenue \$ 569,975.00)

Rental of facilities for the exclusive use of Fitchburg State University, a related
organization

4b (Code:) (Expenses \$ including grants of \$) (Revenue \$)**4c** (Code:) (Expenses \$ including grants of \$) (Revenue \$)**4d** Other program services (Describe in Schedule O.)

(Expenses \$ including grants of \$) (Revenue \$)

4e Total program service expenses ▶ 307,235.00

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1 X	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	2	X
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3	X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	4	X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5	X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6	X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7 X	
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	8	X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	9	X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V	10	X
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	11a X	
b Did the organization report an amount for investments-other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b	X
c Did the organization report an amount for investments-program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c	X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX	11d	X
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11e X	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f	X
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII	12a X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b X	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13	X
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a	X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	14b	X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If "Yes," complete Schedule F, Parts II and IV	15	X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? If "Yes," complete Schedule F, Parts III and IV	16	X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)	17	X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18	X
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19	X
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a	X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	

Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II.</i>		X
22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III.</i>		X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J.</i>	X	
24 a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25.</i>	X	
24 b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		X
24 c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		X
24 d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		X
25 a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I.</i>		X
25 b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I.</i>		X
26 Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II.</i>		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III.</i>		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
28 a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV.</i>		X
28 b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV.</i>		X
28 c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV.</i>		X
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M.</i>		X
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M.</i>		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I.</i>		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II.</i>		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I.</i>		X
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1.</i>	X	
35 a Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
35 b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2.</i>		X
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2.</i>	X	
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI.</i>		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O	X	

Form 990 (2012)

Part V**Statements Regarding Other IRS Filings and Tax Compliance**Check if Schedule O contains a response to any question in this Part V. ☐

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable.	2	
1b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.	0	
1c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?		
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	0	
2b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?		
Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)			
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?		X
3b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		X
4b	If "Yes," enter the name of the foreign country: ▶ See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		X
5b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		X
5c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?		X
6b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
7 Organizations that may receive deductible contributions under section 170(c).			
7a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?		X
7b	If "Yes," did the organization notify the donor of the value of the goods or services provided?		
7c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		X
7d	If "Yes," indicate the number of Forms 8282 filed during the year	n/a	
7e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		X
7f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		X
7g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		
7h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?		X
9	Sponsoring organizations maintaining donor advised funds.		
9a	Did the organization make any taxable distributions under section 4966?		X
9b	Did the organization make a distribution to a donor, donor advisor, or related person?		X
10	Section 501(c)(7) organizations. Enter:		
10a	Initiation fees and capital contributions included on Part VIII, line 12	n/a	
10b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	n/a	
11	Section 501(c)(12) organizations. Enter:		
11a	Gross income from members or shareholders	n/a	
11b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	n/a	
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?		
12b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	n/a	
13	Section 501(c)(29) qualified nonprofit health insurance issuers.		
13a	Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.		
13b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	n/a	
13c	Enter the amount of reserves on hand	n/a	
14a	Did the organization receive any payments for indoor tanning services during the tax year?		X
14b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O		

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI. ☐

Section A. Governing Body and Management

		Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year.	1a 4		
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.			
b Enter the number of voting members included in line 1a, above, who are independent	1b 4		
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2		X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person? . . .	3		X
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4		X
5 Did the organization become aware during the year of a significant diversion of the organization's assets?	5		X
6 Did the organization have members or stockholders?	6		X
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a		X
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b		X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:			
a The governing body?	8a	X	
b Each committee with authority to act on behalf of the governing body?	8b	X	
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9		X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a Did the organization have local chapters, branches, or affiliates?	10a	X
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? . . .	10b	
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? . .	11a	X
b Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	X
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	X
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	X
13 Did the organization have a written whistleblower policy?	13	X
14 Did the organization have a written document retention and destruction policy?	14	X
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official	15a	X
b Other officers or key employees of the organization	15b	X
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	X
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ► Massachusetts

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
☐ Own website ☒ Another's website ☒ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ► Jay D Bry 160 Pearl Street Fitchburg, MA 01420 (978) 665-3298

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent ContractorsCheck if Schedule O contains a response to any question in this Part VII ☐**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees****1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) David Rodgers President	0	X		X						
(2) Scott Foster Treasurer	0	X		X						
(3) Barbara Thompson Fiske Clerk	0	X		X						
(4) Richard Healy Director	0	X								
(5) Robert V. Antonucci President	40	X			X			244,901.00	31,705.00	
(6) Robin Bowen Vice President & Provost	40	X			X			169,327.00	17,045.00	
(7) Jay Bry Vice President of Finance & Administration	40	X			X			130,839.00	6,481.00	
(8) Christopher Hendry Vice President of Advancement	40	X			X			137,523.00	8,947.00	
(9) Stephen Swartz Chief Information Officer	40				X			136,650.00	23,827.00	
(10) Pamela McCafferty Dean of Enrollment Management	40				X			114,583.00	20,822.00	
(11) Shirly Wagner Associate Vice President (retired)	0						X	124,801.00	10,443.00	
(12)										
(13)										
(14)										

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(15)										
(16)										
(17)										
(18)										
(19)										
(20)										
(21)										
(22)										
(23)										
(24)										
(25)										
1b Sub-total										
c Total from continuation sheets to Part VII, Section A									1,058,624.00	119,270.00
d Total (add lines 1b and 1c)									1,058,624.00	119,270.00

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ▶

- 3** Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual
- 4** For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual
- 5** Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person

	Yes	No
3	X	
4	X	
5		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ▶

Part VIII Statement of RevenueCheck if Schedule O contains a response to any question in this Part VIII. ☐

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns	1a				
	b	Membership dues	1b				
	c	Fundraising events	1c				
	d	Related organizations	1d				
	e	Government grants (contributions) . .	1e				
	f	All other contributions, gifts, grants, and similar amounts not included above .	1f				
	g	Noncash contributions included in lines 1a-1f: \$					
	h	Total. Add lines 1a-1f					
Program Service Revenue	2a	Rental Income	Business Code 531190	165,000.00	165,000.00	0.00	
	b	Residence Hall Income	531190	223,825.00	223,825.00	0.00	
	c	Licence Fee Income	531390	181,150.00	181,150.00	0.00	
	d						
	e						
	f	All other program service revenue					
	g	Total. Add lines 2a-2f			569,975.00		
	Other Revenue	3	Investment income (including dividends, interest, and other similar amounts)		491.00		
4		Income from investment of tax-exempt bond proceeds . . .					
5		Royalties					
		(i) Real	(ii) Personal				
6a		Gross rents					
b		Less: rental expenses					
c		Rental income or (loss)					
d		Net rental income or (loss)					
		(i) Securities	(ii) Other				
7a		Gross amount from sales of assets other than inventory					
b		Less: cost or other basis and sales expenses					
c		Gain or (loss)					
d		Net gain or (loss)					
8a		Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c). See Part IV, line 18					
b		Less: direct expenses					
c		Net income or (loss) from fundraising events					
9a		Gross income from gaming activities. See Part IV, line 19					
b		Less: direct expenses					
c	Net income or (loss) from gaming activities						
10a	Gross sales of inventory, less returns and allowances						
b	Less: cost of goods sold						
c	Net income or (loss) from sales of inventory						
Miscellaneous Revenue							
11a			Business Code				
b							
c							
d	All other revenue						
e	Total. Add lines 11a-11d						
12	Total revenue. See instructions			570,466.00	569,975.00		491.00

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response to any question in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the United States. See Part IV, line 21				
2	Grants and other assistance to individuals in the United States. See Part IV, line 22				
3	Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16.				
4	Benefits paid to or for members				
5	Compensation of current officers, directors, trustees, and key employees				
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7	Other salaries and wages				
8	Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)				
9	Other employee benefits				
10	Payroll taxes				
11	Fees for services (non-employees):				
a	Management				
b	Legal				
c	Accounting	5,410.00		5,410.00	
d	Lobbying				
e	Professional fundraising services. See Part IV, line 17				
f	Investment management fees				
g	Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.)				
12	Advertising and promotion				
13	Office expenses	1,454.00	1,454.00		
14	Information technology				
15	Royalties				
16	Occupancy	239,207.00	239,207.00		
17	Travel				
18	Payments of travel or entertainment expenses for any federal, state, or local public officials				
19	Conferences, conventions, and meetings				
20	Interest				
21	Payments to affiliates				
22	Depreciation, depletion, and amortization	66,574.00	66,574.00		
23	Insurance	2,193.00		2,193.00	
24	Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a	_____				
b	_____				
c	_____				
d	_____				
e	All other expenses _____				
25	Total functional expenses. Add lines 1 through 24e	314,838.00	307,235.00	7,603.00	
26	Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720)				

Part X Balance Sheet

Check if Schedule O contains a response to any question in this Part X

		(A) Beginning of year		(B) End of year
Assets	1 Cash - non-interest-bearing	243,633.00	1	71,621.00
	2 Savings and temporary cash investments		2	
	3 Pledges and grants receivable, net		3	
	4 Accounts receivable, net		4	
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	640.00	9	8,692.00
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 5,035,162.00		
	b Less: accumulated depreciation	10b 427,179.00	4,137,214.00	10c 4,607,983.00
	11 Investments - publicly traded securities		11	
	12 Investments - other securities. See Part IV, line 11		12	
	13 Investments - program-related. See Part IV, line 11		13	
	14 Intangible assets		14	
	15 Other assets. See Part IV, line 11	41,148.00	15	37,678.00
16 Total assets. Add lines 1 through 15 (must equal line 34)	4,422,635.00	16	4,725,974.00	
Liabilities	17 Accounts payable and accrued expenses	7,992.00	17	10,612.00
	18 Grants payable		18	
	19 Deferred revenue		19	
	20 Tax-exempt bond liabilities	1,538,180.00	20	1,459,088.00
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties	1,615,267.00	23	1,799,424.00
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	159,088.00	25	99,114.00
	26 Total liabilities. Add lines 17 through 25	3,320,527.00	26	3,368,238.00
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	1,102,108.00	27	1,357,736.00
	28 Temporarily restricted net assets		28	
	29 Permanently restricted net assets		29	
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
	33 Total net assets or fund balances	1,102,108.00	33	1,357,736.00
	34 Total liabilities and net assets/fund balances	4,422,635.00	34	4,725,974.00

Form 990 (2012)

Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response to any question in this Part XI ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	570,466.00
2	Total expenses (must equal Part IX, column (A), line 25)	2	314,838.00
3	Revenue less expenses. Subtract line 2 from line 1	3	255,628.00
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	1,102,108.00
5	Net unrealized gains (losses) on investments	5	
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	1,357,736.00

Part XII Financial Statements and ReportingCheck if Schedule O contains a response to any question in this Part XII ☐

	Yes	No
1 Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		x
2b Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input checked="" type="checkbox"/> Both consolidated and separate basis	x	
2c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	x	
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		x
3b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

Form **990** (2012)

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

2012

Open to Public
Inspection

Name of the organization

FSU Foundation Supporting Organization, Inc.

Employer identification number

04-3491990

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E.)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: _____
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9 ☐ An organization that normally receives: (1) more than 33 1/3 % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3 % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 11 ☒ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h.
 - a ☒ Type I b ☐ Type II c ☐ Type III-Functionally integrated d ☐ Type III-Non-functionally integrated
 - e ☒ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).**
 - f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box. ☐
 - g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?
 - (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? ☐
 - (ii) A family member of a person described in (i) above? ☐
 - (iii) A 35% controlled entity of a person described in (i) or (ii) above? ☐
 - h Provide the following information about the supported organization(s).

	Yes	No
11g(i)		X
11g(ii)		X
11g(iii)		X

	(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of monetary support
				Yes	No	Yes	No	Yes	No	
(A)	Fitchburg State University Foundation Inc	04-2661048	501 (c) 3	X		X		X		0.00
(B)	Fitchburg State University	04-3138437	170 (b) (1) (A) (iv)	X		X		X		0.00
(C)										
(D)										
(E)										
Total										

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2012

Part II**Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3.						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f).						
6 Public support. Subtract line 5 from line 4.						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 Total support. Add lines 7 through 10						
12 Gross receipts from related activities, etc. (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						<input type="checkbox"/>

Section C. Computation of Public Support Percentage

14 Public support percentage for 2012 (line 6, column (f) divided by line 11, column (f))	14	%
15 Public support percentage from 2011 Schedule A, Part II, line 14	15	%
16a 33 1/3% support test - 2012. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		<input type="checkbox"/>
b 33 1/3% support test - 2011. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		<input type="checkbox"/>
17a 10%-facts-and-circumstances test - 2012. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization.		<input type="checkbox"/>
b 10%-facts-and-circumstances test - 2011. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization.		<input type="checkbox"/>
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions		<input type="checkbox"/>

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II.
If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5.						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b.						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
9 Amounts from line 6.						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b.						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2012 (line 8, column (f) divided by line 13, column (f)).	15	%
16 Public support percentage from 2011 Schedule A, Part III, line 15.	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2012 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2011 Schedule A, Part III, line 17	18	%

19a 33 1/3% support tests - 2012. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ► ☐

b 33 1/3% support tests - 2011. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ► ☐

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ► ☐

Part IV **Supplemental Information.** Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions.)

Area with horizontal dashed lines for supplemental information.

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

► Complete if the organization answered "Yes," to Form 990,
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
► Attach to Form 990. ► See separate instructions.

OMB No. 1545-0047

2012

Open to Public
Inspection

Name of the organization

FSU Foundation Supporting Organization, Inc.

Employer identification number

04-3491990

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?	<input type="checkbox"/> Yes <input type="checkbox"/> No	

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

<input type="checkbox"/> Preservation of land for public use (e.g., recreation or education)	<input type="checkbox"/> Preservation of an historically important land area
<input type="checkbox"/> Protection of natural habitat	<input type="checkbox"/> Preservation of a certified historic structure
<input checked="" type="checkbox"/> Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements	2a 1
b Total acreage restricted by conservation easements	2b 41
c Number of conservation easements on a certified historic structure included in (a)	2c 0
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d 0

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ► 0

4 Number of states where property subject to conservation easement is located ► 1

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☒ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ► as needed

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ► \$ 0.00

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1 ► \$

(ii) Assets included in Form 990, Part X ► \$

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenues included in Form 990, Part VIII, line 1 ► \$

b Assets included in Form 990, Part X ► \$

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- ☐ a Public exhibition
☐ b Scholarly research
☐ c Preservation for future generations
☐ d Loan or exchange programs
☐ e Other _____

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

	Amount
c Beginning balance	1c
d Additions during the year	1d
e Distributions during the year	1e
f Ending balance	1f

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII. ☐ Yes ☐ No

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a Board designated or quasi-endowment %
 b Permanent endowment %
 c Temporarily restricted endowment %

The percentages in lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i) unrelated organizations
 (ii) related organizations

	Yes	No
3a(i)		
3a(ii)		
3b		

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R? ☐ Yes ☐ No

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		2,468,713.00		2,468,713.00
b Buildings		2,566,449.00	427,179.00	2,139,270.00
c Leasehold improvements				
d Equipment				
e Other				
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				4,607,983.00

Schedule D (Form 990) 2012

Part VII Investments - Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other _____		
(A) _____		
(B) _____		
(C) _____		
(D) _____		
(E) _____		
(F) _____		
(G) _____		
(H) _____		
(I) _____		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

Part VIII Investments - Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶	

Part X Other Liabilities. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value	
(1) Federal income taxes		
(2) Due to Related Organization	99,114.00	
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
(11)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶		99,114.00

2. FIN 48 (ASC 740) Footnote. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII. ☐

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements	1	570,466.00
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains on investments	2a	
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII.)	2d	
e	Add lines 2a through 2d	2e	
3	Subtract line 2e from line 1	3	570,466.00
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	
5	Total revenue. Add lines 3 and 4c . (This must equal Form 990, Part I, line 12.)	5	570,466.00

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements	1	314,838.00
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII.)	2d	
e	Add lines 2a through 2d	2e	
3	Subtract line 2e from line 1	3	314,838.00
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	
5	Total expenses. Add lines 3 and 4c . (This must equal Form 990, Part I, line 18.)	5	314,838.00

Part XIII Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Part II Line 5 - The one property with an easement is not actually used by Fitchburg State University, a related organization. Periodic visual inspections are conducted by Fitchburg State University Campus Police and Facilities maintenance personnel.

Part II Line 9 - The Organization reports conservation easement on the Balance Sheet as Land.

Part XIII Supplemental Information *(continued)*

Area with horizontal dashed lines for supplemental information.

**SCHEDULE J
(Form 990)**

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest
Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990,
Part IV, line 23.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No. 1545-0047

2012

**Open to Public
Inspection**

Name of the organization

FSU Foundation Supporting Organization, Inc.

Employer identification number

04-3491990

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

☐ First-class or charter travel

☐ Travel for companions

☐ Tax indemnification and gross-up payments

☐ Discretionary spending account

☐ Housing allowance or residence for personal use

☐ Payments for business use of personal residence

☐ Health or social club dues or initiation fees

☐ Personal services (e.g., maid, chauffeur, chef)

Yes No

1b

2

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?

3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

☐ Compensation committee

☐ Independent compensation consultant

☐ Form 990 of other organizations

☐ Written employment contract

☐ Compensation survey or study

☐ Approval by the board or compensation committee

4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

4a

4b

4c

a Receive a severance payment or change-of-control payment?

b Participate in, or receive payment from, a supplemental nonqualified retirement plan?

c Participate in, or receive payment from, an equity-based compensation arrangement?

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.

5 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

5a

5b

a The organization?

b Any related organization?

If "Yes" to line 5a or 5b, describe in Part III.

6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

6a

6b

a The organization?

b Any related organization?

If "Yes" to line 6a or 6b, describe in Part III.

7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III

7

8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III

8

9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

9

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2012

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

	(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1	Robert V Antonucci, President	(i) 243,325.00		(iii) 1,576.00		31,705.00	276,606.00	
2	Robin Bowen, Vice President	(i) 169,327.00				17,045.00	186,372.00	
3	Shirley Wagner, Assoc.VP (retired)	(i) 124,801.00			4,472.00	5,971.00	135,244.00	
4		(i)						
5		(i)						
6		(i)						
7		(i)						
8		(i)						
9		(i)						
10		(i)						
11		(i)						
12		(i)						
13		(i)						
14		(i)						
15		(i)						
16		(i)						

Part III **Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Area with horizontal dashed lines for supplemental information.

**SCHEDULE K
(Form 990)**

Supplemental Information on Tax-Exempt Bonds

Department of the Treasury
Internal Revenue Service

► Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
► Attach to Form 990. ► See separate instructions.

OMB No. 1545-0047
2012
Open to Public
Inspection

Name of the organization

FSU Foundation Supporting Organization, Inc.

Employer identification number

04-3491990

Part I Bond Issues

	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pooled financing	
							Yes	No	Yes	No	Yes	No
A	Mass Development Finance Agency	04-3431814	None	8/16/06	1,900,000.00	Acquisition of Warehouse Facility		X		X		X
B												
C												
D												

Part II Proceeds

	A	B	C	D
1 Amount of bonds retired				
2 Amount of bonds legally defeased				
3 Total proceeds of issue	1,900,000.00			
4 Gross proceeds in reserve funds				
5 Capitalized interest from proceeds				
6 Proceeds in refunding escrows				
7 Issuance costs from proceeds	49,796.00			
8 Credit enhancement from proceeds				
9 Working capital expenditures from proceeds				
10 Capital expenditures from proceeds	1,850,204.00			
11 Other spent proceeds				
12 Other unspent proceeds				
13 Year of substantial completion				
14 Were the bonds issued as part of a current refunding issue?	Yes	No	Yes	No
15 Were the bonds issued as part of an advance refunding issue?		X		
16 Has the final allocation of proceeds been made?	X			
17 Does the organization maintain adequate books and records to support the final allocation of proceeds?	X			

Part III Private Business Use

	A	B	C	D
1 Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?	Yes	No	Yes	No
2 Are there any lease arrangements that may result in private business use of bond-financed property?		X		

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Part III Private Business Use (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
3a Are there any management or service contracts that may result in private business use of bond-financed property?								
b If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?		X						
c Are there any research agreements that may result in private business use of bond-financed property?		X						
d If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?								
4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government		0.0 %		%		%		%
5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government		0.0 %		%		%		%
6 Total of lines 4 and 5		%		%		%		%
7 Does the bond issue meet the private security or payment test?		X						
8a Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X						
b If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of		%		%		%		%
c If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?								
9 Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?								

Part IV Arbitrage

	A		B		C		D	
	Yes	No	Yes / a	No	Yes	No	Yes	No
1 Has the issuer filed Form 8038-T?								
2 If "No" to line 1, did the following apply?		X						
a Rebate not due yet?								
b Exception to rebate?								
c No rebate due?		X						
If you checked "No rebate due" in line 2c, provide in Part VI the date the rebate computation was performed			9/25/2013					
3 Is the bond issue a variable rate issue?		X						
4a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?				X				
b Name of provider								
c Term of hedge								
d Was the hedge superintegrated?								
e Was the hedge terminated?								

Part IV Arbitrage (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
5a Were gross proceeds invested in a guaranteed investment contract (GIC)?								
b Name of provider		X						
c Term of GIC								
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6 Were any gross proceeds invested beyond an available temporary period?				X				
7 Has the organization established written procedures to monitor the requirements of section 148?	X							

Part V Procedures To Undertake Corrective Action

Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?

A		B		C		D	
Yes	No	Yes	No	Yes	No	Yes	No
X							

Part VI Supplemental Information. Complete this part to provide additional information for responses to questions on Schedule K (see instructions).

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

► Attach to Form 990 or 990-EZ.

OMB No. 1545-0047

2012

**Open to Public
Inspection**

Name of the organization

FSU Foundation Supporting Organization, Inc.

Employer identification number

04-3491990

Part VI, Section B, question 11a - Form 990 is prepared by internal
Accounting personnel of Fitchburg State University, a related
organization. The form is reviewed by the President, Vice President
of Finance and the audit committee. The final Form 990 is then
presented to the Board of Directors prior to submission to the IRS.

Part VI, Section B, question 12c - Each officer, director, and
trustee is given a copy of the Conflict of Interest policy. All are
required to sign the Conflict of Interest Disclosure Statement
annually. The document is returned to the Fitchburg State University
Human Resource office. The Fitchburg State University Foundation
Supporting Organization, Inc. has no direct employees. However,
employees at Fitchburg State University, a related organization, are
mandated to follow Massachusetts General law, Chapter 26A, Conduct of
Public Officials and Employees.

Part VI, Section C, question 19 - FSU Foundation Supporting
Organization, Inc. makes it its governing documents, Conflict of
Interest policy and financial statements available available upon
request. The documents are also available on the Fitchburg State
University website.

Employer identification number

04-3491990

Schedule O (Form 990 or 990-EZ) (2012)

SCHEDULE R
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization

FSU Foundation Supporting Organization, Inc.

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No. 1545-0047

2012

Open to Public
Inspection

Name of the organization

FSU Foundation Supporting Organization, Inc.

Employer identification number

04-3491990

Part I Identification of Disregarded Entities (Complete if the organization answered "Yes" to Form 990, Part IV, line 33.)

(1)	(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1)						
(2)						
(3)						
(4)						
(5)						
(6)						

Part II Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?
(1) Fitchburg State University 160 Pearl Street Fitchburg MA 01420	Education	MA	170(B) (1) (A) (vi)	2		X
(2) Fitchburg State University Foundation Inc. 160 Pearl Street Fitchburg MA 01420	Support FSU	MA	501(c) 3	5		X
(3)						
(4)						
(5)						
(6)						
(7)						

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2012

JSA

2E1307 1.000

Part III Identification of Related Organizations Taxable as a Partnership (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1) -----												
(2) -----												
(3) -----												
(4) -----												
(5) -----												
(6) -----												
(7) -----												

Part IV Identification of Related Organizations Taxable as a Corporation or Trust (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Perce- tage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No
(1) -----									
(2) -----									
(3) -----									
(4) -----									
(5) -----									
(6) -----									
(7) -----									

Schedule R (Form 990) 2012

Part V Transactions With Related Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34, 35b, or 36.)**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

	Yes	No
a Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity		<input checked="" type="checkbox"/>
b Gift, grant, or capital contribution to related organization(s)		<input checked="" type="checkbox"/>
c Gift, grant, or capital contribution from related organization(s)		<input checked="" type="checkbox"/>
d Loans or loan guarantees to or for related organization(s)		<input checked="" type="checkbox"/>
e Loans or loan guarantees by related organization(s)		<input checked="" type="checkbox"/>
f Dividends from related organization(s)		<input checked="" type="checkbox"/>
g Sale of assets to related organization(s)		<input checked="" type="checkbox"/>
h Purchase of assets from related organization(s)		<input checked="" type="checkbox"/>
i Exchange of assets with related organization(s)		<input checked="" type="checkbox"/>
j Lease of facilities, equipment, or other assets to related organization(s)		<input checked="" type="checkbox"/>
k Lease of facilities, equipment, or other assets from related organization(s)		<input checked="" type="checkbox"/>
l Performance of services or membership or fundraising solicitations for related organization(s)		<input checked="" type="checkbox"/>
m Performance of services or membership or fundraising solicitations by related organization(s)		<input checked="" type="checkbox"/>
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)		<input checked="" type="checkbox"/>
o Sharing of paid employees with related organization(s)		<input checked="" type="checkbox"/>
p Reimbursement paid to related organization(s) for expenses		<input checked="" type="checkbox"/>
q Reimbursement paid by related organization(s) for expenses		<input checked="" type="checkbox"/>
r Other transfer of cash or property to related organization(s)		<input checked="" type="checkbox"/>
s Other transfer of cash or property from related organization(s)		<input checked="" type="checkbox"/>

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of other organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) Fitchburg State University Foundation Inc.	e	2,168,158.00	o/s Loan Balance
(2) Fitchburg State University	j	346,150.00	Cash
(3)			
(4)			
(5)			
(6)			

Part VI **Unrelated Organizations Taxable as a Partnership** (Complete if the organization answered "Yes" on Form 990, Part IV, line 37.)

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under section 512-514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	
(1) _____													
(2) _____													
(3) _____													
(4) _____													
(5) _____													
(6) _____													
(7) _____													
(8) _____													
(9) _____													
(10) _____													
(11) _____													
(12) _____													
(13) _____													
(14) _____													
(15) _____													
(16) _____													

Schedule R (Form 990) 2012

Part VII

Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule R (see instructions).

[illegible]

Notifications

FITCHBURG STATE UNIVERSITY

Board of Trustees

NOTIFICATIONS

TO: Board of Trustees	DATE: March 25, 2014
FROM: The President	REQUEST NUMBER: N04-13/14
SUBJECT: Personnel Actions	

New Hire

Ezana Estifanos, BA Effective: 2/24/14	Resident Director Housing & Residential Services	\$29,000.00
Elaine Lapomardo, BS Effective: 1/26/14	Director of Admissions Admissions	\$85,000.00
Joseph Wachtel, PhD Effective: 9/1/14	Assistant Professor Economics, History & Political Science	\$56,000.00
Casey Walker, MA Effective: 2/24/14	Resident Director Housing & Residential Services	\$32,500.00
Ashley Walters, BA Effective: 2/23/14	Staff Assistant/Grant Writer Alumni & Development/Grants	\$50,000.00

Rehire

Lori Leonard, MS Effective: 9/1/14 End Date: 5/31/15	Instructor Mathematics – Temporary 1 year	\$52,655.63
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Promotion

Benjamin McDonald, BA Effective 1/25/14	From: Lieutenant To: Interim Chief of Campus Police	From: \$65,312.30 To: \$75,312.30
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Annette Sullivan, EdD	From: Assistant Professor	From: \$75,987.53
Effective: 2/23/14	To: Interim Dean of Education	To: \$105,987.53

*Note – salary increase based on one semester at \$15,000.00

Leave without Pay

Stephen Taylor, PhD	Professor	\$95,324.00
Effective 9/01/14	Computer Science Department	
End Date: 1/10/15		

Retirement

Lucy Dechene, PhD	Professor	\$106,790.87
Effective: 6/30/14	Mathematics Department	

Resignation

Annamary Consalvo	Assistant Professor	\$65,453.07
Effective: 5/31/14	English Studies Department	

Jennifer Fielding	Assistant Librarian	\$55,313.00
Effective: 4/5/14	Gallucci-Cirio Library	

Samantha Williams	Staff Assistant/Resident Director	\$32,656.15
Effective Date: 2/15/14	Housing and Residential Services	

MSCA 1st Reappointment

Eric Boehme, PhD	Assistant Professor	\$61,050.00
Effective: 9/1/14	Economics, History & Political Science	
End Date: 5/31/15		

Hong Tak Chan, PhD	Assistant Professor	\$69,190.00
Effective: 9/1/14	Business Administration Department	
End Date: 5/31/15		

William Cortezia, PhD Effective: 9/1/14 End Date: 5/31/15	Assistant Professor Education Department	\$58,506.25
Michael Greenwood, PhD Effective: 9/1/14 End Date: 5/31/15	Professor Business Administration Department	\$78,347.50
Asher Jackson, MLS Effective: 9/1/14 End Date: 8/31/15	Assistant Librarian Gallucci-Cirio Library	\$48,840.00
Abdel Mustafa, PhD Effective: 9/1/14 End Date: 5/31/15	Assistant Professor Industrial Technology Department	\$71,225.00
Reid Parsons, PhD Effective: 9/1/14 End Date: 5/31/15	Assistant Professor Geo/Physical Sciences Department	\$58,506.25
Linda Rossow, PhD Effective: 9/1/14 End Date: 5/31/15	Assistant Professor Exercise & Sports Science Department	\$58,506.25
Heather Urbanski, PhD Effective 9/1/14 End Date: 5/31/15	Assistant Professor English Studies Department	\$56,980.00
Daniel Welsh, PhD Effective: 9/1/14 End Date: 5/31/15	Assistant Professor Biology/Chemistry Department	\$57,997.50

MSCA 3rd Reappointment

Marcel Beausoleil, PhD Effective: 9/1/14 End Date: 5/31/15	Assistant Professor Behavioral Sciences Department	\$67,671.82
Yasser Derwiche Djazaerly, PhD Effective: 9/1/14 End Date: 5/31/15	Assistant Professor Humanities Department	\$63,234.32
Nancy Duphily, DNP Effective: 9/1/14 End Date: 5/31/15	Assistant Professor Nursing Department	\$84,312.43
Brian Kelly, PhD Effective: 9/1/14 End Date: 5/31/15	Assistant Professor Behavioral Sciences Department	\$59,351.51
Megan Krell, PhD Effective: 9/1/14 End Date: 5/31/15	Assistant Professor Behavioral Sciences Department	\$59,906.20
John Ludlam, PhD Effective: 9/1/14 End Date: 5/31/15	Assistant Professor Biology/Chemistry Department	\$63,789.01
Audrey Pereira, MS Effective: 9/1/14 End Date: 5/31/15	Assistant Professor Business Administration Department	\$74,870.37
Erin Rehrig, PhD Effective: 9/1/14 End Date: 5/31/15	Assistant Professor Biology/Chemistry Department	\$63,789.01
David Rice, PhD Effective: 9/1/14 End Date: 5/31/15	Assistant Professor Exercise & Sports Science Department	\$59,906.20

Bruce Romano, PhD Effective: 9/1/14 End Date: 5/31/15	Assistant Professor Mathematics Department	\$63,683.78
Luis Rosero, PhD Effective: 9/1/14 End Date: 5/31/15	Assistant Professor Economics, History & Political Science	\$61,015.58
Jason Simon, MLS Effective: 9/1/14 End Date: 8/31/15	Assistant Librarian Gallucci-Cirio Library	\$60,958.03
Jason Talanian, PhD Effective: 9/1/14 End Date: 5/31/15	Assistant Professor Exercise & Sports Science Department	\$61,570.26
Samuel Tobin, PhD Effective: 9/1/14 End Date: 5/31/15	Assistant Professor Communications Media Department	\$59,906.20
Kisha Tracy, PhD Effective: 9/1/14 End Date: 5/31/15	Assistant Professor English Studies Department	\$60,286.86

½ Year Sabbatical (9/1/14 – 1/10/15)

Robin Dinda, DMA Effective: 9/1/14 End Date: 1/10/15	Professor Humanities Department	\$91,193.13
Stephen Goldstein, MFA Effective: 9/1/14 End Date: 1/10/15	Associate Professor Communications Media Department	\$75,029.76
John Hancock, PhD Effective: 9/1/14 End Date: 1/10/15	Professor Behavioral Sciences Department	\$90,064.33

Michael Zachary Lee, MFA Effective: 9/1/14 End Date: 1/10/15	Associate Professor Communications Media Department	\$66,103.08
Frank Mabee, PhD Effective: 9/1/14 End Date: 1/10/15	Assistant Professor English Studies Department	\$60,837.00
Nadimpalli Mahadev, PhD Effective: 9/1/14 End Date: 1/10/15	Professor Computer Science Department	\$123,170.31
Joseph Moser, PhD Effective: 9/1/14 End Date: 1/10/15	Assistant Professor English Studies Department	\$60,254.31
Nancy L. Murray, EdD Effective: 9/1/14 End Date: 1/10/15	Associate Professor Education Department	\$79,590.64
Thomas Schoenfeld, PhD Effective: 9/1/14 End Date: 1/10/15	Associate Professor Biology/Chemistry Department	\$69,775.45
Teresa Thomas, PhD Effective: 9/1/14 End Date: 1/10/15	Professor Economics, History & Political Science	\$82,425.50
Susan Wadsworth, MFA Effective: 9/1/14 End Date: 1/10/15	Professor Humanities Department	\$92,904.88
David Weiss, PhD Effective: 9/1/14 End Date: 1/10/15	Associate Professor Behavioral Sciences Department	\$74,083.10

½ Year Sabbatical (1/11/15 – 5/31/15)

Kevin Austin, PhD Effective: 1/11/15 End Date: 5/31/15	Professor Computer Science Department	\$111,185.43
Jennifer Berg, PhD Effective: 1/11/15 End Date: 5/31/15	Assistant Professor Mathematics Department	\$60,254.31
Deon Brock, PhD Effective: 1/11/15 End Date: 5/31/15	Associate Professor Behavioral Sciences Department	\$74,057.64
Bruce Duncan, PhD Effective: 1/11/15 End Date: 5/31/15	Associate Professor Geo/Physical Sciences Department	\$65,342.10
Lisa Gim, PhD Effective: 1/11/15 End Date: 5/31/15	Professor English Studies Department	\$82,968.34
Patrice Gray, PhD Effective: 1/11/15 End Date: 5/31/15	Professor English Studies Department	\$85,673.07
Peter Hogan, PhD Effective: 1/11/15 End Date: 5/31/15	Professor Behavioral Sciences Department	\$100,468.87
Maria Jaramillo, PhD Effective: 1/11/15 End Date: 5/31/15	Professor Humanities Department	\$94,392.29
Walter Jeffko, PhD Effective: 1/11/15 End Date: 5/31/15	Professor Humanities Department	\$118,716.73

Nancy Kelly, PhD Effective: 1/11/15 End Date: 5/31/15	Professor English Studies Department	\$90,727.79
Ronald Krieser, PhD Effective: 1/11/15 End Date: 5/31/15	Assistant Professor Biology/Chemistry Department	\$64,916.12
Benjamin Lieberman, PhD Effective: 1/11/15 End Date: 5/31/15	Professor Economics, History & Political Science	\$87,638.50
Pirudas Lwamugira, PhD Effective: 1/11/15 End Date: 5/31/15	Professor Economics, History & Political Science	\$94,456.75
Angela Nastasee-Carder, PhD Effective: 1/11/15 End Date: 5/31/15	Associate Professor English Studies Department	\$74,898.98
Helen Obermeyer Simmons, MFA Effective: 1/11/15 End Date: 5/31/15	Professor Communications Media Department	\$96,282.24
Rene Reeves, PhD Effective: 1/11/15 End Date: 5/31/15	Professor Economics, History & Political Science	\$80,276.51
Jessica Robey, PhD Effective: 1/11/15 End Date: 5/31/15	Assistant Professor Humanities Department	\$59,088.92
Susan Rosa, EdD Effective: 1/11/15 End Date: 5/31/15	Associate Professor Education Department	\$75,468.40

Daniel Sarefield, PhD	Associate Professor	\$66,507.84
Effective: 1/11/15	Economics, History & Political Science	
End Date: 5/31/15		

Doris Schmidt, PhD	Associate Professor	\$75,213.18
Effective: 1/11/15	English Studies Department	
End Date: 5/31/15		

Jeffrey Warmouth, MFA	Professor	\$82,924.79
Effective: 1/11/15	Communications Media Department	
End Date: 5/31/15		

Full Year Sabbatical with ½ Pay (9/1/14 – 5/31/15)

Christine Dee, PhD	Associate Professor	\$65,065.75
Effective: 9/1/14	Economics, History & Political Science	
End date: 5/31/15		

Sarah (Sally) Moore, MFA	Assistant Professor	\$61,419.69
Effective: 9/1/14	Humanities Department	
End Date: 5/31/15		

½ Year Sabbatical (9/1/15 – 1/9/16)

Jon Krasner, MFA	Professor	\$82,495.36
Effective: 9/1/15	Communications Media Department	
End Date: 1/9/16		

Non-Tenure

Christine A. Devine, M.S.	Assistant Professor	\$68,152.62
Effective: 9/1/14	Nursing	
End Date: 5/31/15		

G. L. Mazard Wallace, Ph.D.	Assistant Professor	\$60,837.00
Effective: 9/1/14	Behavioral Sciences	
End Date: 5/31/15		


Promotions and Tenure

Robert V. Antonucci

PRESIDENT

MEMORANDUM

TO: Board of Trustees

FROM: Dr. Robert V. Antonucci 
President

RE: Promotion Notifications (11)

DATE: March 25, 2014

Pursuant to the MSCA Collective Bargaining Contract, I am **recommending promotion** for the following **faculty members** effective **September 1, 2014**:

<u>Promotion to Full Professor:</u>	<u>Department</u>	<u>Current Rank</u>
Laura E. Baker, PhD	Economics, History, & Political Science	Associate Professor
Michele Caniato, DMA	Humanities	Associate Professor
Randall Grometstein, PhD	Behavioral Sciences	Associate Professor

Promotion to Associate Professor:

Mary Baker, MFA	Communications Media	Assistant Professor
Felicia Farron-Davis, PhD	Education	Assistant Professor
Elizabeth S. Gordon, PhD	Geo/Physical Sciences	Assistant Professor
Ronald J. Krieser, PhD	Biology/Chemistry	Assistant Professor
Frank P. Mabee, PhD	English Studies	Assistant Professor
Joseph P. Moser, PhD	English Studies	Assistant Professor
Jessica C. Robey, PhD	Humanities	Assistant Professor
Annette S. Sullivan, EdD	Education	Assistant Professor

FITCHBURG STATE UNIVERSITY
Board of Trustees
NOTIFICATION

TO: Board of Trustees	DATE:
FROM: The President	March 25, 2014
SUBJECT: Personnel Actions - Promotion	REQUEST NUMBER: N05-13/14

Promotions - Effective September 1, 2014

Promotion to Full Professor:

Laura E. Baker, PhD

Department

Economics, History, &
Political Science

Current Rank

Associate Professor

Michele Caniato, DMA

Humanities

Associate Professor

Randall Grometstein, PhD

Behavioral Sciences

Associate Professor

Promotion to Associate Professor:

Mary Baker, MFA

Communications Media

Assistant Professor

Felicia Farron-Davis, PhD

Education

Assistant Professor

Elizabeth S. Gordon, PhD

Geo/Physical Sciences

Assistant Professor

Ronald J. Krieser, PhD

Biology/Chemistry

Assistant Professor

Frank P. Mabee, PhD

English Studies

Assistant Professor

Joseph P. Moser, PhD

English Studies

Assistant Professor

Jessica C. Robey, PhD

Humanities

Assistant Professor

Annette S. Sullivan, EdD

Education


Assistant Professor

Robert V. Antonucci

PRESIDENT

MEMORANDUM

TO: Board of Trustees

FROM: Dr. Robert V. Antonucci 
President

RE: Tenure Recommendations (12)

DATE: March 25, 2014

Pursuant to the MSCA Collective Bargaining Contract, I am **recommending tenure** for the following faculty/librarian members effective September 1, 2014:

<u>Name</u>	<u>Department</u>	<u>Current Rank</u>
Jennifer D. Berg, Ph.D	Mathematics	Assistant Professor
Jennifer A. Fielding, M.L.S.	Library	Assistant Librarian
Sarah (Sally) B. Moore, M.F.A.	Humanities	Assistant Professor
Nancy L. Murray, Ed.D.	Education	Associate Professor
Aisling M. O'Connor, Ph.D.	Biology/Chemistry	Assistant Professor
Nancy C. Turnbull, M.L.I.S.	Library	Assistant Librarian
Elizabeth R. Walsh, Ph.D.	Behavioral Sciences	Assistant Professor
David P. Weiss, Ph.D.	Behavioral Sciences	Associate Professor

I am also **recommending tenure and promotion** for the following faculty/librarian members effective September 1, 2014:

Ronald J. Krieser, Ph.D.	Biology/Chemistry	Assistant Professor
Frank P. Mabee, Ph.D.	English Studies	Assistant Professor
Joseph P. Moser, Ph.D.	English Studies	Assistant Professor
Annette S. Sullivan, Ed.D.	Education	Assistant Professor

Fitchburg State University
REQUEST FOR BOARD ACTION

TO: Board of Trustees	DATE: March 25, 2014
FROM: The President	REQUEST NUMBER: 09-13/14
SUBJECT: Tenure	

Pursuant to the MSCA Collective Bargaining Contract, I am recommending tenure for the following faculty members effective September 1, 2014:

<u>Name</u>	<u>Department</u>	<u>Current Rank</u>
Jennifer D. Berg, Ph.D	Mathematics	Assistant Professor
Jennifer A. Fielding, M.L.S.	Library	Assistant Librarian
Sarah (Sally) B. Moore, M.F.A.	Humanities	Assistant Professor
Nancy L. Murray, Ed.D.	Education	Associate Professor
Aisling M. O'Connor, Ph.D.	Biology/Chemistry	Assistant Professor
Nancy C. Turnbull, M.L.I.S.	Library	Assistant Librarian
Elizabeth R. Walsh, Ph.D.	Behavioral Sciences	Assistant Professor
David P. Weiss, Ph.D.	Behavioral Sciences	Associate Professor

I am also **recommending tenure and promotion** for the following faculty/librarian members effective September 1, 2014:

Ronald J. Krieser, Ph.D.	Biology/Chemistry	Assistant Professor
Frank P. Mabee, Ph.D.	English Studies	Assistant Professor
Joseph P. Moser, Ph.D.	English Studies	Assistant Professor
Annette S. Sullivan, Ed.D.	Education	Assistant Professor

Enclosures

Robert V. Antonucci

PRESIDENT

**Fitchburg State University
Executive Summary
Financial Statements for the Six Months Ended
December 31, 2013, 2012 and 2011**

Statements of Net Assets (pages 1 - 2):

- Total assets increased by approximately \$20.2 million due primarily to various construction projects.
- Capital assets increased to approximately \$147.8 million net of current period depreciation of \$3.5 million.
- Current liabilities increased due to two new bond issues for Phase III of the Hammond Center project and the parking expansion project. Total liabilities increased to \$69.5 million.
- Invested in capital assets, net of related debt increased by \$22.2 million due to the construction projects previously mentioned.
- Total debt from bond issues totaled \$43.7 million. There are no capital leases outstanding.

Statements of Revenues, Expenses and Changes in Net Assets (pages 3 - 4):

- Total revenue for the period was \$57.1 million.
- Tuition and fee revenue increased by 5.0%. Scholarship expense increased to \$4.5 from \$4.1 and \$4.2, respectively, in the prior periods.
- Auxiliary revenue, which represents operation of the residence halls, increased by 2.3% and does not include fees charged for the student housing facility owned and operated by the FSU Foundation Supporting Organization, Inc.
- Total expenditures were \$42.4 million and are consistent with prior periods.
- Investment gains totaled \$957,400. Investment income was \$374,600.
- There was an overall increase in net assets of \$14.6 million for the first six months of the fiscal year.

Statements of Cash Flows (page 5):

- Total cash at December 31, 2013 was \$35.7 million which represents a decrease of approximately \$979,000 over cash balances at June 30, 2013.
- Net cash provided from operations was \$21.0 million.
- Acquisitions of property and equipment totaled \$21.1 million and includes Phase II of the Science modernization project.

FITCHBURG STATE UNIVERSITY
FINANCIAL STATEMENTS
FOR THE SIX MONTHS ENDED
DECEMBER 31, 2013, 2012 AND 2011

FITCHBURG STATE UNIVERSITY
STATEMENTS OF NET ASSETS
December 31, 2013, 2012 and 2011

ASSETS

	<u>2013</u>	<u>2012</u>	<u>2011</u>
Current Assets			
Cash and cash equivalents	\$ 20,510,899	\$ 18,234,129	\$ 25,284,794
Cash and cash equivalents-restricted	5,421,483	5,659,872	4,305,004
Bond proceeds	4,831,326	13,075,787	5,773,930
Due from other funds	20,946	3,902	26,721
Accounts receivable, net	2,144,524	2,275,997	2,277,553
Loans receivable, net	1,442	2,292	3,614
Other assets	190,284	40,125	139,215
Total Current Assets	<u>33,120,904</u>	<u>39,292,104</u>	<u>37,810,831</u>
Noncurrent Assets			
Restricted cash and cash equivalents	4,907,098	7,748,770	7,826,628
Investments	17,596,619	16,145,371	10,978,330
Endowment investments	884,651	802,155	674,154
Loans receivable, net	1,992,034	1,968,675	1,922,733
Prepaid expenses	19,173	3,052	9,487
Deferred bond issue costs	-	-	159,853
Capital assets, net	147,823,322	120,205,340	84,492,808
Total Noncurrent Assets	<u>173,222,897</u>	<u>146,873,363</u>	<u>106,063,993</u>
Total Assets	<u>\$ 206,343,801</u>	<u>\$ 186,165,467</u>	<u>\$ 143,874,824</u>

FITCHBURG STATE UNIVERSITY
STATEMENTS OF NET ASSETS
December 31, 2013, 2012 and 2011

LIABILITIES AND STOCKHOLDERS' EQUITY

	<u>2013</u>	<u>2012</u>	<u>2011</u>
Current Liabilities			
Bonds payable-current portion	\$ 1,869,156	\$ 1,398,345	\$ 1,141,550
Accounts payable and accrued liabilities	2,329,683	2,817,975	2,343,456
Accounts payable-construction	192,054	947,072	152,182
Salaries and benefits payable	594,889	1,562,373	1,627,446
Accrued workers compensation-current portion	146,711	133,668	148,183
Compensated absences-current portion	3,337,157	2,844,087	2,726,534
Accrued faculty payroll	2,023,655	2,150,621	2,002,438
Deferred revenue-current portion	8,906,471	5,623,709	6,051,444
Capital lease-current portion	-	-	14,428
Other liabilities	1,937,653	1,015,580	1,754,475
Total Current Liabilities	<u>21,337,429</u>	<u>18,493,430</u>	<u>17,962,136</u>
Noncurrent Liabilities			
Bonds payable	41,870,128	43,752,379	24,323,563
Accrued workers compensation	598,014	544,849	604,015
Compensated absences	1,877,151	1,818,351	1,743,194
Rebate payable	-	-	17,993
Due to federal loan programs-Perkins	1,551,146	1,522,482	1,511,287
Due to federal loan programs-Nursing	393,521	386,343	374,803
Deferred revenue	1,915,541	2,117,177	2,318,812
Total Noncurrent Liabilities	<u>48,205,501</u>	<u>50,141,581</u>	<u>30,893,667</u>
Total Liabilities	<u>69,542,930</u>	<u>68,635,011</u>	<u>48,855,803</u>
Net Assets			
Invested in capital assets, net of related debt	108,970,257	86,813,481	62,119,956
Restricted for:			
Non-expendable			
Scholarships & fellowships	474,709	460,396	438,759
Expendable			
Scholarships & fellowships	484,407	452,872	430,416
Loans	265,398	260,887	257,916
Other	930	930	930
Capital projects	1,377,739	4,464,082	4,459,530
Debt service	4,465,443	5,315,120	6,611,634
Unrestricted	20,761,988	19,762,688	20,699,880
Total Net Assets	<u>136,800,871</u>	<u>117,530,456</u>	<u>95,019,021</u>
Total Liabilities and Net Assets	<u>\$ 206,343,801</u>	<u>\$ 186,165,467</u>	<u>\$ 143,874,824</u>

FITCHBURG STATE UNIVERSITY
STATEMENT OF REVENUE, EXPENSES & CHANGES IN NET ASSETS
For the Six Months Ended DECEMBER 31, 2013, 2012 and 2011

	<u>2013</u>	<u>2012</u>	<u>2011</u>
Operating Revenues			
Student tuition and fees	\$ 22,072,471	\$ 20,888,042	\$ 20,300,866
Waivers and exemptions	(1,019,808)	(839,021)	(935,060)
Net student tuition and fees	21,052,663	20,049,021	19,365,806
Federal grants and contracts	3,672,848	3,300,698	3,200,866
State and local grants and contracts	237,863	101,128	65,498
Stimulus grants	-	-	210,343
Nongovernmental grants and contracts	7,171	10,953	98,843
Sales and services of educational departments	585,704	658,843	626,268
Auxiliary enterprises:			
Residential life (net of scholarship allowances)	4,523,774	4,421,411	4,216,320
Administrative overhead	60,345	27,321	44,063
Fundraising	51,035	43,939	47,031
Commissions	191,280	169,018	182,300
Miscellaneous	5,337	32,162	8,560
Nursing and Perkins	18,067	18,659	17,089
Total Operating Revenues	30,406,087	28,833,153	28,082,987
Operating Expenses			
Salaries:			
Faculty	8,094,619	8,602,484	8,009,751
Exempt wages	1,290,107	1,540,029	1,387,760
Non-exempt wages	8,060,837	7,927,481	7,383,717
Benefits	4,399,746	4,100,053	5,147,426
Other Operating Expenses:			
Employee related travel	157,644	120,381	87,046
Administrative expense	898,147	1,025,502	992,412
Facility operational supplies	768,980	750,009	707,082
Utilities	1,490,000	1,434,924	1,225,138
Consultant services	311,688	242,032	285,466
Operational services	545,749	495,660	466,540
Equipment purchases	422,438	745,394	430,302
Equipment maintenance and repairs	310,757	293,878	346,548
Purchased client services-program	132,419	104,389	89,000
Construction and building improvement	692,190	976,465	1,029,239
Scholarships	4,521,116	4,102,464	4,192,039
Loans & special payments	350	1,277	21,177
IT expenditures	2,213,517	2,091,768	1,846,808
Other bond issue costs	-	149,869	-
Depreciation	3,481,443	2,134,171	2,103,442
Bad debt expense	(250)	(185)	(300)
Auxiliary enterprises:			
Residential life	4,180,861	4,132,507	4,073,896
Total Operating Expenses	41,972,358	40,970,552	39,824,489
Operating profit/(loss)	(11,566,271)	(12,137,399)	(11,741,502)

For those charged with governance and internal management use only

FITCHBURG STATE UNIVERSITY
STATEMENT OF REVENUE, EXPENSES & CHANGES IN NET ASSETS
For the Six Months Ended DECEMBER 31, 2013, 2012 and 2011

	<u>2013</u>	<u>2012</u>	<u>2011</u>
Nonoperating Revenues(Expenses)			
State appropriations	14,335,032	13,500,157	15,282,888
Investment income (net of investment expenses)	374,606	225,577	178,961
Interest on capital debt	(448,600)	(288,461)	(142,189)
Unrealized gain/(loss)	957,403	500,668	(189,926)
	<hr/>	<hr/>	<hr/>
Net Nonoperating Revenues (Expenses)	15,218,441	13,937,941	15,129,734
	<hr/>	<hr/>	<hr/>
Income (loss) before Capital and Endowment Additions	3,652,170	1,800,542	3,388,232
	<hr/>	<hr/>	<hr/>
Capital appropriations	230,005	276,980	900,000
Capital grants and gifts	10,767,117	10,600,818	10,600,818
	<hr/>	<hr/>	<hr/>
Increase in net assets	14,649,292	12,678,340	14,889,050
	<hr/>	<hr/>	<hr/>
Net Assets - beginning of period	122,151,579	104,852,116	80,129,971
	<hr/>	<hr/>	<hr/>
Net Assets - end of period	<u>\$ 136,800,871</u>	<u>\$ 117,530,456</u>	<u>\$ 95,019,021</u>

For those charged with governance and internal management use only

FITCHBURG STATE UNIVERSITY
STATEMENTS OF CASH FLOWS
For the Six Months Ended DECEMBER 31, 2013 AND 2012

	<u>2013</u>	<u>2012</u>	<u>2011</u>
CASH FLOWS FROM OPERATING ACTIVITIES			
Increase in net assets	\$ 14,649,292	\$ 12,678,340	\$ 14,889,050
Adjustments to reconcile increase in net assets to cash provided by (used by) operating activities:			
(Gain)/Loss on marketable securities	(957,403)	(500,668)	189,926
Depreciation	3,481,443	2,134,171	2,103,442
(Increase) decrease in assets:			
Accounts receivable	(241,516)	(362,529)	1,150,205
Loans receivable	(14,010)	(5,881)	15,424
Other assets	(33,153)	87,284	142,487
Increase (decrease) in liabilities:			
Accounts payable and accrued liabilities	(3,530,677)	(1,023,169)	(1,119,736)
Compensated absences	31,024	452,285	95,928
Accrued faculty payroll	(821,762)	(689,757)	(622,003)
Deferred revenue	6,941,750	3,916,633	4,106,312
Other liabilities	1,543,498	867,284	1,572,468
Net cash provided by operating activities	<u>21,048,486</u>	<u>17,553,993</u>	<u>22,523,503</u>
CASH FLOWS FROM INVESTING ACTIVITIES			
Proceeds from sale of investments	2,166,460	1,321,926	-
Purchase of investments	(2,408,879)	(1,696,433)	-
Acquisition of property, plant and equipment	<u>(21,570,797)</u>	<u>(19,267,502)</u>	<u>(20,404,144)</u>
Net cash (used by) investing activities	<u>(21,813,216)</u>	<u>(19,642,009)</u>	<u>(20,404,144)</u>
CASH FLOWS FROM FINANCING ACTIVITIES			
Proceeds from bond issue	-	13,864,034	-
Federal loan program	17,596	6,017	4,003
Payments of capital debt	(232,299)	(230,982)	(234,849)
Payments of capital leases	<u>-</u>	<u>-</u>	<u>(383,824)</u>
Net cash (used by) financing activities	<u>(214,703)</u>	<u>13,639,069</u>	<u>(614,670)</u>
Net increase in cash	(979,433)	11,551,053	1,504,689
Cash and cash equivalents - beginning of period	<u>36,650,239</u>	<u>33,167,505</u>	<u>41,685,667</u>
Cash and cash equivalents - end of period	<u>\$ 35,670,806</u>	<u>\$ 44,718,558</u>	<u>\$ 43,190,356</u>
Supplemental Disclosures:			
Cash paid for interest	<u>\$ 880,592</u>	<u>\$ 618,090</u>	<u>\$ 411,863</u>

For those charged with governance and internal management use only

Gail Doiron

From: Robert Antonucci
Sent: Tuesday, March 04, 2014 1:32 PM
To: Karen Frank Mays; Linda McKay
Cc: Executive Cabinet
Subject: FW: Nursing and Allied Health Initiative Grant
Attachments: FY14 Fitchburg SU Nursing Grant-ISA.doc

Categories: CC VIP

Good news.

From: Commissioner Freeland [<mailto:commissioner.freeland@bhe.mass.edu>]
Sent: Tuesday, March 04, 2014 1:04 PM
To: Robert Antonucci
Subject: Nursing and Allied Health Initiative Grant



Massachusetts Department of Higher Education

One Ashburton Place, Room 1401
Boston, MA 02108-1696

TEL (617) 994-6950
FAX (617) 727-0955
WEB www.mass.edu

Richard M. Freeland, *Commissioner*
Charles F. Desmond, *Chairman*
Massachusetts Board of Higher Education

MEMORANDUM

TO: Robert Antonucci, President
Fitchburg State University

FROM: Richard M. Freeland, Commissioner

DATE: March 4, 2014

SUBJECT: Nursing and Allied Health Initiative Grant

I am pleased to announce that the Department of Higher Education has awarded Fitchburg State University \$53,840 as part of the Nursing and Allied Health Initiative for its grant proposal in partnership with Montachusett Regional Vocational Technical School.

The grant funds will be disbursed as soon as you send a pdf of a signed copy of the attached Interagency Service Agreement to DHE Budget Director Sheila Tunney at stunney@bhe.mass.edu. Should you have any questions, please contact Associate Commissioner David Cedrone (617-994-6904; dcedrone@bhe.mass.edu), or as always don't hesitate to contact me directly.

Please accept my congratulations on your award, and my thanks for your good work in addressing the evolving education and workforce development needs of the health care sector.

Feb. 13, 2014

To Whom it may concern,
I'm writing this letter
in regards to the Family
First program I was able
to participate in. Our son
entered FSU as a freshman
in the Fall of 2013. Being a
parent of an incoming student
the University offered a free
semester to parents who had
never been to College. I had
read about this in the
local paper.

Myself I finished high school
at Bonaventure High and then
went to Cosmetology school,
and started my career, I have
been in this industry for 26 yrs.

When this opportunity came
up, I met with Nancy
McLaughlin the enrollment
manager who talked to me
about courses available, Nancy
helped to me choose the
right class for me, which was
a Computer class.

My classes were two mornings a week, evening classes were also available, but being self employed mornings worked for me.

Of course I was the oldest in the class, but the students were very welcoming and helpful.

My professor was prof. Michael Burke who was very helpful. The class did consist of a lot of reading and studying. I did receive an A on my midterm which made me very proud of myself. My final consisted of homework, attendance, participation. I do have to say I am very proud of myself to having accomplished another chapter in my life, I hope to take a few more computer classes in the future.

I have mentioned this opportunity the University offers to parents that

their children attend different Colleges/Universities and they had never heard of such a program.

I strongly suggest if you qualify schedule an appointment with Enrollment Manager Nancy McLaughlin and see what opportunities are available for you.

During my last class I told the students to take any opportunity that is available to them if they are very fortunate to attend such a wonderful University

Sincerely,
Lisa Cornier

ARTICLE IX - TENURE

The granting of tenure is the single most important type of decision made in an educational institution. Barring unforeseen circumstances, tenure obligates the institution to employ the recipient of tenure for the balance of his/her professional life. It not only makes a major financial commitment to the individual until retirement, but even beyond. Tenure has its place in the academic community as the principal means through which academic freedom is preserved.

It must be accomplished with the utmost care, concern and searching evaluation by the faculty and the administration of the institution.

The serious decision of granting tenure demands that the President, before making recommendations to the Board, have substantial evidence, determined through professional evaluation, that the candidate will be a constructive and significant contributor to the continuous development of high quality education in the institution. It is the responsibility of the candidate for tenure to produce such substantial evidence based on his/her prior academic and professional work.

For the purposes of this Article, but subject to the provisions hereof, the phrase "faculty member" shall include "librarian."

A. ENTITLEMENT

1. Only faculty members who hold full-time appointments as Assistant Professor, Associate Professor, Professor, Assistant Librarian, Associate Librarian, Librarian or Senior Librarian shall be eligible to be considered for or granted tenure.

The provisions of this Article IX shall be of no application to any person holding a part-time appointment.

2.
 - a. Any faculty member whose tenure-track appointment had effect on or before December 31, 2005, and who serves at a University as a full-time faculty member for four (4) consecutive academic years and is reappointed as such for a fifth (5th) consecutive academic year shall, in accordance with the provisions of Article VIII of this Agreement, be evaluated for tenure during such fifth (5th) academic year. This provision shall be of no application to any such faculty member who has been duly notified that he/she will not be reappointed as a full-time faculty member at the completion of his/her fifth (5th) academic year; and this provision shall be of no application to any such faculty member who has been evaluated for tenure prior to his/her fifth (5th) year of consecutive service as a full-time faculty member. The service of librarians shall be measured with reference to consecutive work years.
 - b. Any faculty member whose tenure-track appointment had effect on or after January 1, 2006, and who serves at a University as a full-time faculty member for five (5) consecutive academic years and is reappointed as such for a sixth (6th) consecutive academic year shall, in accordance with the provisions of Article VIII of this Agreement, be evaluated for tenure during such sixth (6th) year. This provision shall be of no application to any such faculty member who has been duly notified that he/she will not be reappointed as a full-time faculty member at the completion of his/her sixth (6th) academic year; and

ARTICLE IX - TENURE

this provision shall be of no application to any such faculty member who has been evaluated for tenure prior to his/her sixth (6th) year of consecutive service as a full-time faculty member. The service of librarians shall be measured with reference to consecutive work years.

- c. Any faculty member whose tenure-track appointment is initially granted at the rank of Associate Professor or Professor and who is reappointed as such for a third (3rd) consecutive academic year shall, in accordance with the provisions of Article VIII of this Agreement, be evaluated for tenure during such third (3rd) academic year. This provision shall be of no application to any such faculty member who has been duly notified that he/she will not be reappointed as a full-time member of the faculty at the completion of his/her third (3rd) academic year; and this provision shall be of no application to any such faculty member who has been evaluated for tenure prior to his/her third (3rd) year of consecutive service as a full-time faculty member.
3. No member of the faculty shall be a candidate for tenure more than once and, if denied tenure on the occasion of such candidacy, shall thereupon be granted a final appointment for the period of the ensuing academic year; provided only that nothing in this subsection 3 shall bar a member of the bargaining unit from being a candidate for tenure on more than a single occasion if a candidacy for tenure subsequent to the first such candidacy occurs in the circumstances described at Article XX, Section C(9)(b), of the Agreement.
4. If granted, tenure shall first have effect no later than the September 1 next following the date on which the Board of Trustees votes to grant tenure.
5.
 - a. Every faculty member who holds a tenure-track appointment of the kind described in subsection 2(a) and who shall have been evaluated for tenure shall be notified by the President, not later than September 1 of his/her sixth (6th) academic year of service, of the decision of the Board of Trustees to grant or to deny such faculty member tenure. Every such faculty member other than an Instructor who serves the University as a full-time faculty member for more than six (6) consecutive years (exclusive of a seventh (7th) terminal year) shall thereby gain tenure.
 - b. Every faculty member who holds a tenure-track appointment of the kind described in subsection 2(b) and who shall have been evaluated for tenure shall be notified by the President, not later than September 1 of his/her seventh (7th) academic year of service, of the decision of the Board of Trustees to grant or to deny such faculty member tenure. Every such faculty member other than an Instructor who serves the University as a full-time faculty member for more than six (6) consecutive years (exclusive of a seventh (7th) terminal year) shall thereby gain tenure.
6.
 - a. Nothing in this Article IX shall abrogate the right of the Board of Trustees to request that a faculty member who holds an appointment of the kind described in subsection 2(a) be evaluated for tenure prior to such faculty member's fifth (5th) consecutive year of serve as such.

ARTICLE IX - TENURE

- b. Nothing in this Article IX shall abrogate the right of the Board of Trustees to request that a faculty member who holds an appointment of the kind described in subsection 2(b) be evaluated for tenure prior to such faculty member's sixth (6th) consecutive year of service as such.
 - c. Nothing in this Article IX shall abrogate the right of the Board of Trustees to grant tenure upon appointment to any faculty member without prior service; provided, however, that before the granting of tenure, upon initial appointment or otherwise, the candidate shall be evaluated under the terms of this Agreement.
- 7. Any other provision of this Article IX to the contrary notwithstanding, no faculty member serving pursuant to the terms of a temporary appointment made in accordance with the provisions of Article XX of this Agreement shall be considered for or gain tenure, nor shall service pursuant to the terms of any such temporary appointment be included in any calculation of the number of years of consecutive service rendered by any faculty member prior to such faculty member's being considered for tenure.
 - 8. Tenure, when granted, shall be granted and shall have effect only at the University at which the faculty member to whom tenure is granted holds, or may thereafter hold, his/her appointment.
 - 9. Whether taken on a full-time or part-time basis, leaves of absence for non-tenured persons shall not interrupt service towards tenure. The periods during which full-time or part-time leave is taken shall not be included in the calculation of the number of years of service rendered by any faculty member prior to his/her being considered for tenure.
 - 10. Department Chairs do not have tenure in that capacity but shall hold and retain such tenure, if any, as shall have been granted to them as members of the faculty.
 - 11. Anything in the foregoing to the contrary notwithstanding, any faculty member who shall have been first given a full-time appointment after December 1 but prior to the expiration of the then-current academic year shall, solely for the purpose of calculating such faculty member's eligibility for tenure, be deemed to have been so appointed with effect on the date of commencement of the academic year next following; and any faculty member first given a full-time appointment after the expiration of any academic year but prior to December 1 in the next academic year shall be deemed to have been so appointed on the date of commencement of such next academic year.
 - 12. No member of the bargaining unit who holds an appointment as Library Assistant shall be considered for or gain tenure, nor shall any service as Library Assistant be included in any calculation of the number of years of consecutive service rendered by him/her prior to his/her being considered for tenure.
 - 13. Except as otherwise expressly provided in this Section A, the actions required to be taken by a Board of Trustees pursuant to this Article IX may not be delegated.



1. Printing from your personal laptop on campus

fitchburgstate.edu/offices/technology/studentprinting/mobileprint

2. View shuttle locations on your mobile device

m.fitchburgstate.edu/shuttles

3. Receive a text message if your class is canceled

alert.fitchburgstate.edu

4. Receive discounts in the local area by showing your OneCard

fitchburgstate.edu/offices/technology/onecard/discount

5. Check your OneCard balances with an iPhone or Android mobile app

itunes.apple.com/us/app/blackboard-transact-mobile/id559541502
play.google.com/store/apps/details?id=com.blackboard.transact.android&hl=en

6. Download Microsoft software including Office for FREE

fitchburgstate.onthehub.com

7. View laundry availability on the web and receive text message updates

laundryview.com

8. Visit our mobile website from any smart phone

m.fitchburgstate.edu

9. Reset your Falcon key username and password on your own (must enroll)

password.fitchburgstate.edu

10. Access your network J-drive from anywhere in the world

files.fitchburgstate.edu

technology

can
help
you!



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SATURDAY, March 8, 2014

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\$1.00



U.S. Sen. Elizabeth Warren addresses a panel of students and faculty at Fitchburg State University on Friday about the financial impact of college loans. Behind her is Fitchburg Mayor Lisa Wong.

SENTINEL & ENTERPRISE / JOHN LOVE

Warren raps profits on student loans

U.S. senator reacts to report on government's earnings during visit to Fitchburg State University

By Michael Hartwell

mhartwell@sentinelandenterprise.com

FITCHBURG — U.S. Sen. Elizabeth Warren said the government should stop earning profits on student loans during an appearance at Fitchburg State University's Holmes Dining Commons Friday afternoon.

"The United States government should not be making a profit off of

students," said Warren, a Democrat. She called the practice of setting interest rates above the break-even point for the program "fundamentally wrong."

Warren was reacting to a report that revealed the federal government earned an estimated \$66 billion in profit from student loans originated between 2007 and 2012. A previous congressional report

estimated that the government will pocket an additional \$185 billion in profits on new student loans made over the next 10 years.

Warren said the report shows the government is squeezing profits out of young people and adding to the mountain of debt they will spend the rest of their lives struggling to repay.

Warren is not looking at setting interest rates at zero, saying the

government still needs to cover the costs of the program. Rather, she wants them at 3.9 percent, or lower, if congress is willing to increase revenues by raising taxes on the wealthiest Americans.

"If we can bring in more money, we'll use it dollar for dollar to bring the interest rate down even lower," said Warren.

Please see **WARREN/5**

Warren raps student-loan profits

WARREN/From Page 1

In July, President Barack Obama signed into law a measure restoring lower interest rates for student loans for the 2013-14 academic year. Under the terms of the bill, undergraduates were able to borrow at a 3.9 percent interest rate for subsidized and unsubsidized loans. Graduate students were given access to loans at 5.4 percent, and parents were able to borrow at 6.4 percent.

The rates are not permanent. The legislation offered lower rates this academic year because the government was able to borrow money cheaply at the time the bill was signed into law. If the economy continues to improve, it will become more costly for the government to borrow money, and that could be passed on to students.

Warren said with a 3.9 percent interest rate, the average student who borrowed for their undergraduate degree would save \$1,000 a year in loans and cut nearly half off what they pay in interest over the life of the loan. Warren also spoke about ways to apply those lower rates to current college graduates by allowing them to refinance with the lower rate.

Three-fourths of current



U.S. Sen. Elizabeth Warren chats with students during her visit to Fitchburg State University.

SENTINEL & ENTERPRISE / JOHN LOVE

college students are enrolled in public colleges and universities and Warren said a generation ago, two-thirds of their tuition was covered by the government. Today it's down to one-third. She said this is because tuition rates have shot up, the number of students has increased and states have decreased their contributions into public colleges and universities.

Warren has a bill in the works aimed to halt tuition increases, but she said reduc-

ing interest rates is the low-hanging fruit.

"This one's not hard," said Warren. "There's going to be a lot of moving parts to how to bring down the cost of college overall. Refinancing student loans? This one's not hard."

Fitchburg Mayor Lisa Wong helped introduce Warren to the crowd, calling her "a strong voice for us at the federal level."

Sophomore photography major Victoria George, 19, is from Rhode Island. Both of

her parents were laid off from work and she said financing school has been a struggle.

"I'm willing to get behind someone who supports students regardless of party," she said. "I'm grateful that we finally have someone."

Material from The Associated Press was used in this report.

Follow Michael Hartwell at [facebook.com/michaelhartwell](https://www.facebook.com/michaelhartwell) or on Twitter or Tout @Sehartwell.

Finding Gateways to progress

Partnerships, schools called keys to tapping cities' potential

By Alana Melanson
amelanson@sentinelandenterprise.com

FITCHBURG — Collaboration and making education work from birth through college are going to be the keys to success in Gateway Cities such as Fitchburg and Leominster, local education officials said Wednesday.

At a Gateway Cities Education Vision Forum hosted by MassINC at Fitchburg High School, local public school and higher-education officials, as well as representatives from some community partners, gathered to discuss ongoing education initiatives and the future of community-wide learning systems in the Gateway Cities.

Ben Forman, executive director of the Gateway Cities Innovation Institute and research director of MassINC, said Gateway Cities and their residents are critical to the future success of the state, because together they hold a quarter of all kindergarten through 12th-grade students, and a third of all students under age 5.

"When you look at our future economy, 70 percent of all jobs will require post-secondary training, but only 22 percent of Gateway City kids are getting a post-secondary degree," he said. "So unless we fix that, it's going to have real implications for the state's future."

While Gateway Cities often are perceived as having less-than-stellar school systems, Forman said these cities are where the most innovative work in education is happening in the state. He said these cities often have many assets that can be overlooked, such as colleges and universities that provide dual enrollment for students, public transit and linguistic and cultural diversity.

Mayor Lisa Wong said the Gateway Cities Education Vision is one that requires many community partners across a wide variety of sectors to collaborate with educational institutions of all levels to truly be a community-wide initiative that ensures children start with a proper education from a young age.

"Right now, we can never fully implement that vision if we continue to work in silos," she said.

Fitchburg State University President Robert Antonucci agreed.

"What we're learning today is that we cannot oper-



"It's all of our responsibility to make sure that our students are career-ready, are college-ready and are civically ready," said Mount Wachusett President Daniel Asquino, left, as Fitchburg State President Robert Antonucci looks on during a Gateway Cities Education Vision Forum at Fitchburg High on Wednesday.

SENTINEL & ENTERPRISE PHOTOS / JOHN LOVE

ate independently any longer. We need to operate cooperatively," he said.

He pointed to a strong partnership with local schools and with Mount Wachusett Community College, and asked all present to commit to "enhance the lives of each and every student that we serve in all of our schools."

"Students represent, demographically, 25 percent of our present, but they represent 100 percent of our future," said MWCC President Daniel Asquino. "It's all of our responsibility to make sure that our students are career-ready, are college-ready and are civically ready."

He said the college's Division of Access and Transition,

which includes a number of programs that prepare students of all levels for the future, was born out of the need to break down the separate K-12 and higher-education cultures and work together to improve the quality of life for students. While it was questioned at first, the model has become so successful that others are now replicating it, Asquino said.

Leominster Superintendent of Schools James Jolicœur discussed partnering with community organizations such as the Boys & Girls Club and the SHINE Initiative to take care of students' social and emotional needs so that they can come to school ready to learn, as well as

allowing Leominster High School and Center for Technical Education Innovation students opportunities to access each other's resources.

Laura Howick, education director at the Fitchburg Art Museum, spoke of partnering with school districts to provide cultural opportunities for children and with the city and the Twin Cities Community Development Corp. to boost the creative economy and spur economic development.

FHS Principal Jeremy Roche spoke about the school's efforts to meet students where they are and target resources to help students at all levels succeed, from the School Within a School program, designed to support incoming freshmen most at-risk of dropping out, to the Honors Academy, which challenges students ready to perform above grade level, and includes a compact with FSU.

Dan Hanneken, principal of McKay Arts Academy, the city's only prekindergarten through eighth grade Innovation School, located on the Fitchburg State University campus, spoke about the autonomies granted to the school under Innovation status and how students and staff are benefiting from site-based management and various collaborations with community partners.

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"We can never fully implement that vision if we continue to work in silos," said Fitchburg Mayor Lisa Wong.

Veterans the big winners at Fitchburg St. fundraiser

By Matt Stewart
Correspondent

FITCHBURG — The hockey game will go down in the books as a 4-2 victory for Fitchburg State University, however the real winners Saturday night were the veterans who have given so much to their country.

Fitchburg State hosted the inaugural fundraising contest to benefit the Wounded Warrior Project. The event, co-hosted by the Fitchburg Police Relief Association and featuring the Fitchburg State and UMass Dartmouth hockey teams, took place Saturday evening at the Wallace Civic Center.

Falcons head coach Dean Fuller came up with the idea for a benefit game after a family friend participated in a charity road race to benefit the Wounded Warrior Project, which was sponsored by the Fitchburg Police Relief Association. The Wounded Warrior Project is a national foundation that aims to provide services for the needs of wounded service members.

"A friend of mine ran in Mark Jackson and Robin Connors' road race for the Wounded Warriors," Fuller said. "I've been thinking for a couple of years since I retired on how I wanted to give back to the organization. I thought this could work well."

By all measurements, the night was a



rousing success. At the midway point the third period, Fitchburg State was able to present a check of more than \$12,500 to the foundation — far exceeding the initial goal of \$10,000. But organizers say that total may grow to close to \$14,000, as they were still busy processing raffle donations late Saturday.

"The Wounded Warrior Project is something that we strongly support," Fitchburg State University President Robert V. Antonucci said. "The Fitchburg State hockey team and the Fitchburg Police Department all came together. Tonight was a perfect example of how we came together as a com-

Lance Cpl. Evan Reichenthal drops the puck for a ceremonial faceoff before the start of the inaugural Wounded Warrior Project game at the Wallace Civic Center in Fitchburg Saturday night. Reichenthal, of Princeton, served as an infantryman with 3rd Battalion, 9th Marine Regiment from 2009-12. He was seriously wounded by an IED while conducting combat operations against Taliban forces in Afghanistan, losing his right leg below the knee, as well as his left leg and right arm. The game was a fundraiser for the Wounded Warrior Project, which aims to honor and empower injured veterans with services and programs to help them adjust to the rigors of returning from battle. At left is Fitchburg State captain Shawn Bradley. At right UMass Dartmouth captain Nate Dupere.

SENTINEL & ENTERPRISE / GARY FOURNIER

munity to help an important cause."

Members of the Fitchburg State University ROTC presented the colors and helped to honor the Wounded Warriors who were in attendance at the game. Antonucci said

Please see **WARRIORS/10**

Wounded Warrior project makes an impact at FSU hockey game

WARRIORS/From Page 9

demonstrating community support to those who engage in military service can serve as an inspiration to the students.

"The students know if they are going into a situation that there is war, and have difficulty, the key thing is to know when they come back that they have support," Antonucci said. "We feel very strongly that we need to support these veterans. They are our community, they did their duty, and now we have a duty to help them."

Twenty-four-year-old John Girouard of Fitchburg was among the veterans in attendance Saturday night. Girouard was serving as a combat medic with the Army's 25th infantry in Afghanistan in 2011 when he suffered serious injuries on the battlefield.

Initially arriving at the hospital in a comatose state after suffering injuries to his brain, spine and pelvis, as well as significant ear damage, the first thing Girouard saw when he awoke was a Wounded Warrior Project blanket that had been placed over him. Since his recovery, he has become a Wounded Warrior Alumni, making it

his new mission to raise funds and spread awareness for the organization that has been so instrumental in his life.

"Being wounded and in the hospital so long, I saw firsthand the direct impact of the Wounded Warrior Project," said Girouard, who currently works as a Fitchburg Firefighter. "They do a great job of empowering wounded warriors and making us not feel alone."

"I think the Wounded Warrior Project plays a huge role in saving lives. They can't help what's going on overseas, but they positively impact every soldier returning home. The project helps us meet other wounded soldiers, and provide anything we need, whether it's medical or counseling. It's the best organization in the world, absolutely."

Prior to the game, Lance Cpl. Evan Reichenthal (USMC) of Princeton spoke to the Fitchburg State players. Reichenthal was an infantryman with the 3rd Battalion, 9th Marine Regiment, and suffered serious injuries from an improvised explosive device in Helmand Province, Afghanistan. He lost his left leg, right arm and right leg below the knee, and endured 32 surgeries and 14 months



Sean Loebbs celebrates with teammates after the Falcons scored to take a 1-0 lead in a game they eventually won, 4-2, Saturday night.

SENTINEL & ENTERPRISE / GARY FOURNIER

of rehabilitation.

"(Reichenthal) talked to the guys about what he went through," Fuller said. "He's back (after his injuries) and he looks great. I told them,

'Boys, this is why we're able to play a game, because we have men like that defending us and keeping us safe.'"

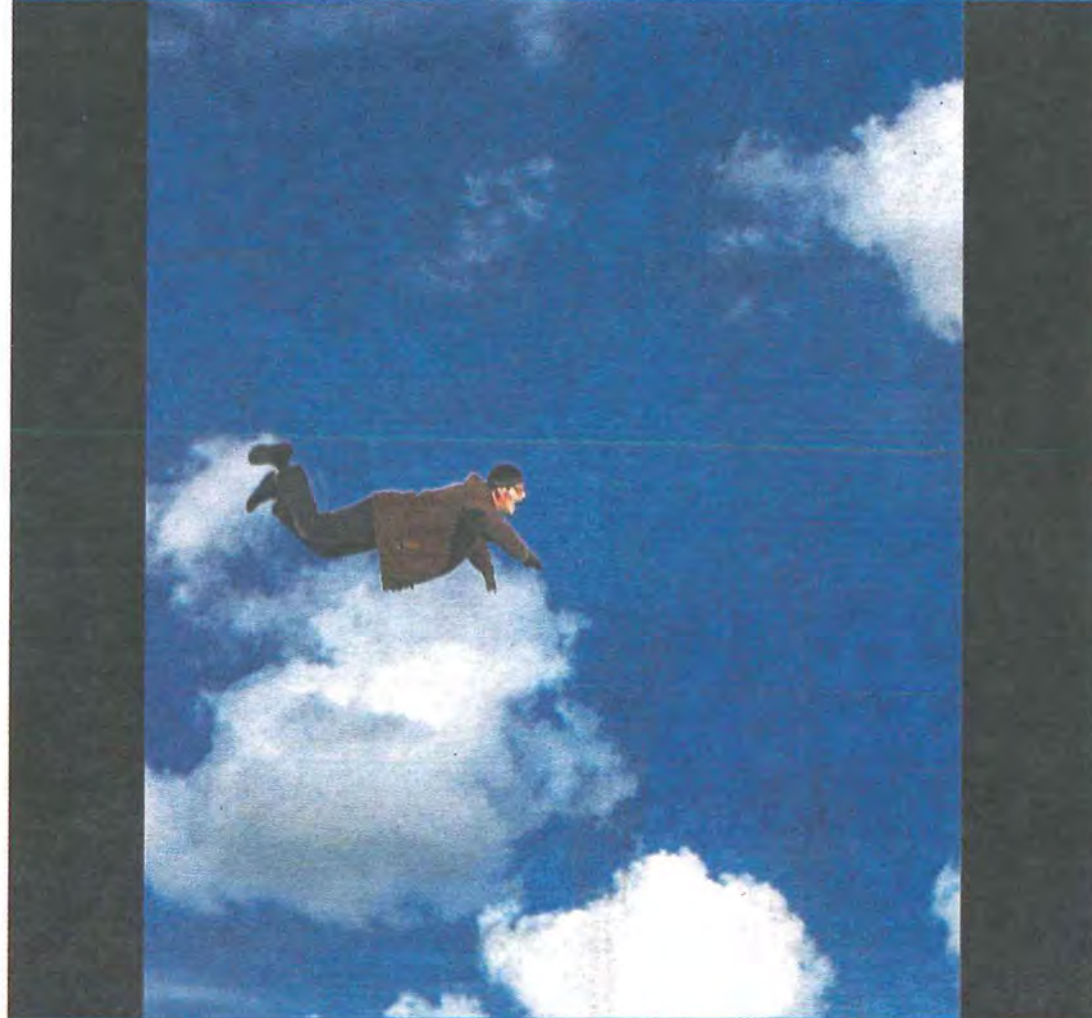
The amount of money raised represented the largest

charity-game undertaking for FSU athletics to date. The athletics department also engages in smaller, scale-fundraisers for causes such as breast cancer awareness.

"There was a lot of work behind the scenes, and I'm thrilled with the amount of money we were able to raise," Fitchburg State Athletic Director Sue Lauder said. "We've had Think Pink nights in basketball; that's usually hundreds of dollars raised. But because we have community interest in hockey already, it was the perfect fit."

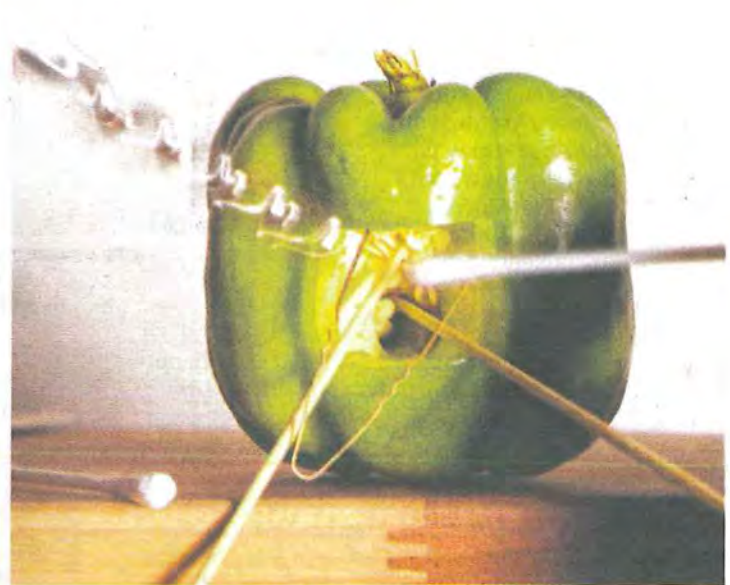
Incorporated in 1900, the Fitchburg Police Relief Association has undertaken numerous charitable activities, including fundraisers to aid cancer research, local organizations and other worthy charities. The organization played a major role in making Saturday night's event a reality.

"We've been a proud sponsor of the Wounded Warrior Project for three or four years now," Fitchburg Police Relief Association President and Patrolman Jude H. Chabot said. "We've raised over \$11,000 over the years. We have a lot of veterans on the police department, as well as the fire department, and believe that those coming back from overseas need assistance, and are very proud to make life a little easier when they come back."



PHOTOS COURTESY OF JEFFU WARMOUTH

Above: a video still from "Fall," in which versions of Jeffu Warmouth plummet from a cloud-filled sky, then swim. Top right: "Extraction" depicts dental tools coming at a green pepper.



From comic to compelling

Nothing fusty about Warmouth exhibition at Fitchburg Art Museum

By Cate McQuaid
GLOBE CORRESPONDENT

FITCHBURG — Humor, wordplay, food, and the artist's handlebar mustache dress up much of "Jeffu Warmouth: NO MORE FUNNY STUFF," now at the Fitchburg Art Museum. It's a crowd pleaser with a lot of noise (a little too much) and frivolity, but at its heart lie compelling existential quandaries.

For an institution trying to remake itself, under new director Nick Capasso, as the premier venue to see New England art, this is a significant show. The first contemporary show under Capasso's watch last fall, "Still Life Lives," coaxed visitors used to a conservative exhibition agenda to view flashy new approaches to a fusty old format. Even so, the art pretty much remained motionless and on the wall.

Warmouth brings a new world of mediums to this museum: performance, video, and in-

stallation art. He teaches at Fitchburg State University, so he's a local, and he has a wildly active career in the Boston area.

The entry point to his art is humor, which makes it easily accessible. But it's also a risk; the work can read as gags, gimmicks, and razzmatazz. Some of it falls flat. The video at the show's entrance, "No More Funny Stuff (4-Way Cymbal Monkey)" merely shows four Warmouths, two upside-down, wildly playing cymbals. I had the urge to yank it offstage with a giant hook, vaudeville-style.

This midcareer retrospective reaches back to Warmouth's undergraduate efforts. The early works, such as a photographic collage of two sad, masked clowns in bowler hats in "He Dreams About Samuel Beckett," chart out his interests. Warmouth's art still rings with tragedy-comedy and angst that echo Beckett's.

But the first act of "NO MORE FUNNY STUFF" is more

ART REVIEW

JEFFU WARMOUTH: No More Funny Stuff

At: Fitchburg Art Museum,
25 Merriam Parkway,
Fitchburg, through June 1.
978-345-4207,
www.fitchburgartmuseum.org

Claes Oldenburg and Andy Warhol than it is Samuel Beckett.

In grad school at the School of the Museum of Fine Arts in the mid-1990s, Warmouth started photographing comic scenarios in which food stood in for people, or just served as the basis for visual puns. "Extraction" depicts dental tools coming at a seedy hole on the face of a green pepper. For "Cross-Checker Pepper Hybrid," the artist cheekily traded sections of green and red peppers to make checkerboard patterns on each.

Several videos employ food as characters. Even the more rudimentary of these, such as "Il Spaghetti Occidentali," shot largely on a kitchen floor with elbow macaroni standing in for guns, make such skillful use of B-movie tropes and winkingly broad humor that they're rife with chuckles.

Over time, Warmouth deepened his passion for food into an investigation of branding and consumer culture, presenting canned and boxed goods with his likeness on the label in his installation "SuperJEFFU-Market." He slyly introduces his existential angst in products such as "Crushed Resolve," startlingly subverting packaging's usual upbeat sales patter with something messier and more like life.

The food phase hits a shrill crescendo with the carnival-like interactive video installation of "JeffuBurger" and "JFC," competing fast-food vendors. Push a button at either stand, and the guys behind the counters go into action, hurling insults at each other as they tempt you with their wares. "Burger Muffs," for instance, can be worn like earmuffs. Much of the humor here stretches thinner than a quarter-pounder.

The most affecting work, unreservedly, is the most recent.



PHOTOS BY CHARLES PHOTOGRAPHICS

Above: The performance piece "Three Heads Are Better Than One." Below: "SuperJEFFUMarket" investigates branding.



Warmouth leaves food behind and makes himself an everyman. Associate curator Mary Tinti has erected a small version of the 80-foot-tall Boston Convention & Exhibition Center video marquee, where Warmouth has regularly contributed to a slate of video art.

In "Fall," several versions of him plummet through a cloud-filled sky, like Yves Klein's photomontage "Leap Into the Void," and Don Draper's silhouette in the opening credits of "Mad Men," landing in a pool of limpid blue water, where they swim. "I UP" riffs on old arcade

video games, with little Jeffus scrambling up ladders and jumping over precipices. Pac-Man's plight — and by implication, Warmouth's and our own — is life as an endless round of pursuit, consumption, peril, and destruction.

He sets up an equally vexing dynamic in the three-channel video projection "Merge." Again, several Jeffus appear. When two in the same costume show up, they jump at each other and become one. It might be a metaphor for any relationship, most poignantly an internal one. The resulting figure struggles, stretching and morphing like a reflection in a funhouse mirror, but never looks quite right.

There were many laughing visitors of all ages at Warmouth's exhibition the day I visited. A performance piece runs intermittently through the exhibit, "Three Heads Are Better

Than One." (The next performance is March 23.) The setup is ready to go: Two of his heads on video monitors on a shoulder-level shelf. They look friendly and alert, as if they've come off the Brady Bunch grid. In performance, the artist pokes his head through a hole between the two monitors.

A little girl stepped in while I was there. Her family took her picture. It was comical, because she was stuck there momentarily, just her head on a shelf between two smiling Jeffu heads. It didn't seem like a bad fate. But then again, it did: trapped on a shelf with two of the same joker with the handlebar mustache. Forever. Like Vladimir and Estragon, waiting for Godot, and never getting anywhere. Very funny, and very sad.

Cate McQuaid can be reached at catemcquaid@gmail.com.

Fitchburg State remembers chief

On behalf of the Fitchburg State University campus police force, I am writing to express our heartfelt gratitude for the widespread support and well-wishes extended after the untimely passing of our dedicated colleague and devoted friend Michael Marcil.

Mike served this department with distinction for nearly 30 years, a long chapter in a notable career in law enforcement that included service to other local departments. The long line of officers and police vehicles that processed before his funeral was a measure of the high regard in which Mike was held by his friends and colleagues.

The police service is very much like a family, and it is heartening to feel the network of support that is extended when we lose one of our own. That network extends to Mike's own family, which has shown

great strength and grace through these very trying times. They remain in our thoughts and prayers as we grieve a great loss together.

Mike Marcil will never be forgotten, and we are grateful for the privilege of having known and worked with him. We thank the community for honoring his memory and service.

BENJAMIN MCDONALD
Acting Chief
Fitchburg State University
Fitchburg



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Artist Jeffu Warmouth, professor of communications media at Fitchburg State University, poses with his work "Super Jeffu Market" during the opening of his "No More Funny Stuff" exhibit at the Fitchburg Art Museum Sunday afternoon.

SENTINEL & ENTERPRISE / NICK MALLARD

FSU prof gets down to (funny) business

By Cillea Houghton
Correspondent

FITCHBURG — The Fitchburg Art Museum on Sunday opened an exhibition like you've never seen before with the launch of "No More Funny Stuff," a mid-career retrospective featuring

the work of local artist and Fitchburg State University communications media professor Jeffu Warmouth.

Warmouth's work has been featured all over the United States, as well as internationally.

Mary Tinti, Fitchburg Art Museum's associate curator, explained how she was introduced to Warmouth's

work about a year ago when she drove past a 30-foot marquee in Boston that displayed various digital artworks, including Warmouth's "Fall."

After becoming a curator at FAM and discovering that Warmouth worked at FSU, elements of putting

Please see **FUNNY/6**

FSU prof gets down to funny business

FUNNY/From Page 1

together the exhibition fell into place. "It became clear that we wanted to do something amazing with his work," she said.

The title, "No More Funny Stuff," juxtaposes Warmouth's thread of humor weaved throughout his works with his desire to step away from the common food-centered pieces for which he had become known. However, the idea was inspired by an earlier conversation he had with FAM Director Nick Capasso, when he asked the artist how much longer he would continue doing food-related work, prompting Warmouth to rethink the style.

"I realized from that conversation that I had put myself in a rut," Warmouth said.

He said selecting works to display in the show was a retrospective experience for him.

"I've been able to go back and reflect on my work to date and that's been really important," he said.

Some of the works that Warmouth is particularly excited for visitors to see include video projections "Fall" and "1Up," in addition to reprints of his early photographic work.

"I've never seen them this good," he said of the images.

Though food and humor are prominent in many of Warmouth's works, he wants visitors to know that there is much more to his artistic style than those themes.

"There are a lot of ideas in play, but also play itself embodies a lot of ideas," Warmouth said. "A work that isn't about humor is still playful."

Tinti also attested to the depth of Warmouth's pieces.

"His work is really layered; it's seriously funny," she said. "The work allows visitors to step back from their daily lives and not take their routines

so seriously."

Capasso echoed Tinti's sentiments.

"The show is huge fun. Jeff's work is accessible, hysterical, and meaningful; they're not just jokes," he said, adding that some of the themes explored in the various works range from consumerism to boredom.

Tinti, who describes Warmouth as "Fitchburg's most famous contemporary artist," said the show is full of technology, including interactive sculptures, full-screen video monitors and wall projections, all which create an engaging and inviting experience for the viewer. "A lot of it is participatory; it's visually stimulating. It awards people who spend time with it experimentation and insight into each one," she said.

"This is the first time the Fitchburg Art Museum has presented artwork like this," added Capasso. "I want people to leave the museum and tell their friends, 'You'll never believe what I saw at FAM.'"

A large part of this exhibition is the collaboration between Fitchburg State University and the museum. Students in Robert Carr's Document Design class this year helped design both the exhibition and promotional tactics.

"Without the university's help, we would have never been able to do it," Capasso said.

As for those attending "No More Funny Stuff," they can expect to leave with aching cheeks and a new appreciation for contemporary art, something that Tinti is hopeful for.

"I'm really looking forward to hearing the museum filled with laughter," she said.

The debut of "No More Funny Stuff" will be on display until June 1. For more information, visit fitchburgartmuseum.org.



"Jeffu Burger," a video display by artist Jeffu Warmouth, a professor of communications media at Fitchburg State University, is seen during the opening of the "No More Funny Stuff" exhibit at the Fitchburg Art Museum Sunday afternoon.



"Corn Dog," a photograph by Warmouth, is displayed at the exhibit.

Film series continues March 20

FITCHBURG — The Third Thursday film series, bridging the Fitchburg State campus and the city, resumes this month with a screening and discussion of “Monsoon Wedding” (2001) at 4 p.m. on March 20 at the Fitchburg Public Library, 610 Main St. Admission is free.

Each film is presented in the library auditorium, introduced by a member of the Fitchburg State faculty, staff or a community partner. The films touch on issues or events that will resonate with today’s audiences. An informal discussion follows each screening.

Faculty member Aruna Krishnamurthy (English studies) will introduce the film, which tells the story of a stressed father, a bride-to-be with a secret, a smitten event planner, and relatives from around the world who create much ado about the preparations for an arranged marriage in India. The screening will be part of the university’s observance of Women’s History Month.

Grammy-winning bluegrass coming to FSU

FITCHBURG — Bluegrass comes to New England when the CenterStage at Fitchburg State University arts and culture series presents the Grammy-winning virtuosi Steep Canyon Rangers at 8 p.m. March 29 in Weston Auditorium, 353 North St.

Rising stars Della Mae will open for the Steep Canyon Rangers for an unforgettable double bill.

Bluegrass music has its roots in Appalachia, where it was formed from blending traditions of Irish, Scottish, Welsh and English traditional music and later incorporated jazz elements.

The Steep Canyon Rangers won the Grammy for best bluegrass album in 2013 for their compilation "Nobody Knows You." They have become familiar faces to TV audiences thanks to numerous appearances with comedian and banjo player Steve Martin, with whom they have toured in recent years.

Tickets are \$35 for adults, \$30 for seniors and \$7 for students. The Weston Box Office is open 12:30-3:30 p.m. Thursday and Friday at Weston Auditorium and can be reached at 978-665-3347.

Della Mae commands a powerful collective chemistry with vocal, instrumental and songwriting talent to spare. These Boston-based "alt-grassy" women — respectful of American musical tradition yet not restricted by it — meld centuries worth of musical influences with an emotionally tough, undeniably modern songwriting sensibility.

The performance is co-sponsored by Enterprise Bank, the *Sentinel & Enterprise* and the *Leominster Champion*, with media partner AM-1280.



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A world away, watching and hoping

Those with Ukraine memories know tensions at work

By Chris Camire

ccamire@sentinelandenterprise.com

FITCHBURG — Irene Martyniuk was heading to an airport in Ukraine about a decade ago when her taxi was suddenly stopped by police on a deserted road.

The Fitchburg State University English professor was about to ask if they were speeding when her driver

Please see **UKRAINE/5**



Former Fitchburg Police Chief Edward Cronin, third from right, during a visit to Odessa, Ukraine, in 1995. Cronin worked for Project Harmony, an international initiative funded by the U.S. State Department in which he educated Ukrainians about domestic violence.

COURTESY EDWARD CRONIN

FROM PAGE ONE/OBITUARIES

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For them, Ukraine crisis hits home

UKRAINE/From Page 1

slipped a wad of cash to the officer. In a moment, the car was on its way again.

"Unfortunately, corruption is very much a part of life over there," said Martyniuk. "It's certainly not the West. You never felt you were in the West in terms of government."

With her ancestry deeply rooted in Ukraine, Martyniuk has been closely monitoring the recent tensions in that region of the world.

Martyniuk, who has taught at Fitchburg State since 1996, called the situation "sad, worrisome and upsetting." She has been to the country twice. Her father emigrated from Ukraine, and her mother grew up in a heavily Ukrainian neighborhood in the Lower East Side of New York City.

Martyniuk went to Ukrainian school every Saturday while growing up in Kent, Ohio. Today, she travels to New York each Saturday to take embroidery lessons at a Ukrainian museum.

"Clearly it's a big part of my life," she said.

The sight last month of protesters setting up a camp on the capital city of Kiev's Independence Square, known as the Maidan, was a surreal image for Martyniuk. While visiting the country she stayed in a hotel just feet from where protesters were demonstrating against corruption and human rights abuses and calling for President Viktor Yanukovich's resignation.

"We walked around that area. That's where the post office is. That's where the subway is. It's the main square," said Martyniuk. "It

brings back memories. You just look at that and you think, 'When will this country get a chance?' It's always being judged."

There has been a decades-long battle over the identity of this nation of 46 million, whose loyalties are divided between Russia and the West.

Last November, Yanukovich was expected to sign a landmark agreement with the European Union.

Troubled by the prospect of losing influence, Russia threatened trade sanctions against Ukraine, prompting Yanukovich to walk away from the deal with the EU.

Ukrainians who had wanted closer ties with Europe began protesting in Independence Square, leading to violent clashes with government forces. The protests have divided the

Russian-speaking east and south of Ukraine with the Ukrainian-speaking west of the country that borders EU.

Tension between Ukraine and Moscow rose sharply after Yanukovich fled to Russia after more than 80 demonstrators were killed in the protests. Since then, troops that Ukraine says are Russian soldiers have moved into Crimea, patrolling airports, smashing equipment at an airbase and besieging Ukrainian military installations.

Former Fitchburg Police Chief Edward Cronin has seen the divisions in Ukraine firsthand.

In 1998 he took a job with a Vermont-based organization Project Harmony. There he directed the Domestic Violence Community Partnership Program, an interna-



"Unfortunately, corruption is very much a part of life over there," said Fitchburg State English professor Irene Martyniuk, whose ancestry is deeply rooted in Ukraine.

COURTESY PHOTO

tional initiative funded by the U.S. State Department to educate police, hospital officials and clergy about domestic and sexual abuse.

Cronin has called his experience the opportunity of a lifetime. During his three years there he logged hundreds of thousands of air miles as he made about 25 trips to Russia, Georgia and Ukraine.

"I think it's a very complex situation because there is a very significant Russian political presence as well as the Ukrainian nationalist people," said Cronin. "The countries have been so intermingled for so long. It's not a black-and-white issue."

Protesters are also upset over corruption in Ukraine, the lack of democratic rights and the country's ailing economy, which just barely avoided

bankruptcy with a \$15 billion aid infusion from Russia.

"The level of corruption was unbelievable," said Cronin. "Criminal thievery is embedded in the political culture. That's what frustrates the young people. They're talented and can't rise in life because of the levels of corruption."

As peace efforts to resolve the crisis got underway in Paris and Brussels on Wednesday, volatility reigned on the ground in Ukraine.

A special U.N. envoy visiting Ukraine's Crimean peninsula came under threat by armed men who forced him to leave the region. Meanwhile, hundreds of demonstrators, many chanting "Russia! Russia!" stormed a government building in eastern Ukraine — renewing fears that turmoil could spill out of Crimea and engulf other Russian-dominated parts of Ukraine.

Joshua Spero, an associate professor of international politics at Fitchburg State University who spent time in Ukraine while working for the federal government in the 1990s, said the crisis has reached a global scale.

"The real key issue is because this is now a Ukrainian-Russian crisis, my sense is that the challenge over who really controls Ukraine politically, economically, militarily, that is now in question," said Spero. "That is a very serious security dilemma, not just for the independent country of Ukraine, but also especially for the region of Central and Eastern Europe."

This report includes material from the Associated Press.

FSU shines again at moot court nationals

FITCHBURG — The Fitchburg State Moot Court team continued its winning ways at the American Moot Court Associational National Tournament held at the Sandra Day O'Connor School of Law at Arizona State University in January.

Nearly 400 teams entered one of the 10 regional tournaments across the U.S. last fall, including one held in November on the Fitchburg State campus. The national tournament brought together the top 80 teams, repre-

senting the best 20 percent from each region.

Two of Fitchburg State's teams advanced to the second day of the tournament. Senior Angela Szymcik and junior Shylah Maloney advanced beyond the first elimination round, in which they defeated a team from the University of Central Florida, before succumbing to the eventual national champion and finishing tied for 17th place in the country.

Fitchburg State senior

Andrew Coleman and junior Sam Gonyea were ranked 36th after the first day of the tournament and were eliminated by a team from the University of Louisville on the second day. Seniors Shawna Paul and Patience Morrow also represented Fitchburg State at the national tournament.

The tournament marked the third national appearance for Szymcik, who graduated from Fitchburg State in December with a degree in political science.

She is currently deciding between law school choices for the fall. Maloney and Gonyea are juniors and expect to return to moot court competition next year.

The university has a long tradition of success at the moot court competition. This year's teams were coached by Dr. Eric Boehme with assistance from Shane Martin and Keith Greiner, both of whom are Fitchburg State alumni who participated in moot court during their undergraduate careers.

Tierney Sutton sings Joni Mitchell

FITCHBURG — CenterStage at Fitchburg State University invites you to experience the music of Joni Mitchell as interpreted by celebrated jazz vocalist Tierney Sutton at 8 p.m. on Feb. 7 in Weston Auditorium, 353 North St.

Tickets are \$35 for adults, \$30 for seniors and \$7 for children and Fitchburg State students. The Weston Box Office is open Thursday and Friday from 12:30-3:30 p.m. and can be reached at 978-665-3347. Tickets can also be ordered online at fitchburgstate.edu/cultural. There will be a special preshow dinner at the Fay Club at 658 Main St. Fitchburg. Call 978-345-4537 to make a dinner reservation.

Joni Mitchell's lyrics and music were unique, evocative and definitive from their first note. As timely poetry, the songs entwine a universal voice through personal narrative. And as music, there is rich melodic and harmonic movement, plateaus for narrative and unresolved chords that reflect the emotional place of the story.

After spending years immersed in the many hues of Mitchell's music, American songbook vocalist Tierney Sutton's Joni Mitchell Project aspires to "paint a little 'Blue' portrait." With the band's virtuosi — Christian Jacob on piano, Trey Henry on drums and Kevin Axt on bass — the evening will be as intimate as a 1960s coffeehouse.

Before the performance, there will be a spotlight talk with the artist at 7:15 p.m. with Fitchburg State professor Michele Caniato.

The performance is co-presented by Center-



Tierney Sutton

Stage at Fitchburg State and WICN-90.7 jazz radio and co-sponsored by Rollstone Bank & Trust and the *Sentinel & Enterprise*.

FSU's strong sign of civic engagement

By Chris Williamson
Guest Column

To Fitchburg State University President Robert Antonucci:

On behalf of the Fitchburg Parks Commissioners, The Fitchburg Greenway Committee, the Fitchburg Cultural Alliance and our Signage Design Working Group, I write to let you know how much we all appreciate the outstanding, creative, timely work accomplished by some of your students on behalf of our groups and the city of Fitchburg.

Initiated originally by the Greenway Committee, the objective was to establish way-finding, orientational, and informational signs at Riverfront Park and Sheldon Gateway Park. This led to the goal of a unified design system for signage — and for a logo — for Fitchburg Parks.

Professor Helen Obermeyer-Simmons volunteered her graphic-design class this fall to take on this project as a real-world design opportunity, with encouragement from the Parks Board. I am pleased to report that the Parks Commissioners at their Jan. 7 meeting voted unanimously to accept one of the designs for a signage system and for a logo to help brand the city's impressive number and variety of city parks and provide information to all who use them.

The graphic-design students this past semester were Melissa Boudreau, Nick Gibson, Ryan Goulart, Joe Kueni, Bridget Murphy, David Nott, Austin Soares, Zak Stoddard and Pao Yxiong.

They were assisted by professor Randy Howe as the term ended. I had the highly enjoyable opportunity to see these students in action several times. They represented your university well, and you can be proud of their work. They were creative, articulate, enthusiastic and motivated.

The students wrestled with the design and code questions and did research, asked clarifying questions and developed their design solutions in three groups and by sharing among the groups. They presented their evolving designs on four occasions, including a presentation at Rollstone Studios for the public and a final presentation to the Parks Board on Dec. 17, when the snow was so prolific that the FSU campus evening classes were canceled. Thanks to Randy Howe, we were still able to meet, and Commissioners Jim McGrath and John Ricciutti, along with Angelo Bisol (prior to transitioning to city councilor) and Librarian Sharon Bernard were able to attend. Professor Obermeyer-Simmons was able to Skype in.

My thanks also to The Fitchburg Library, the Fitchburg Art Museum and personnel at the city offices for allowing us to display the design options and seek comment, and to students at Applewild School and art teacher Sara Sanford for creating the display boards. Feedback from those displays and the wide membership of the Greenway Committee was helpful.

All in all, this is an impressive example of cooperation and civic engagement. I know that you are a champion of this connectedness between the university and the city, and this project in a small way validates how that collaboration can benefit your students, with opportunities for valuable real-work experience, and our community, by virtue of their imaginative solutions.

The initial signs will be placed at Riverfront and Gateway Parks as funding allows, with other parks to follow as the Parks Board determines and funding allows. Although one design and logo will be visible, we know it results from the creative collaboration of all of the students in the graphic-design class and the guidance of their teacher. Thank you for encouraging the culture and climate of civic engagement that makes this possible, and to your students for their outstanding work on behalf of the city of Fitchburg and our parks. They can be very proud.

Chris Williamson is head of Applewild School in Fitchburg.

Minutes of Meeting Held on March 25, 2014 at 8:00 a.m.

**FITCHBURG STATE UNIVERSITY BOARD OF TRUSTEES &
FITCHBURG STATE UNIVERSITY FOUNDATION, INC. BOARD OF DIRECTORS MEETING
Tuesday, March 25, 2014 8:00 a.m.
Presidents' Hall, Guglielmi Mazzaferro Center
291 Highland Avenue, Fitchburg, MA 01420**

Trustees present: Robert Babineau, Jr., Anna Maria Clementi, Nathan Gregoire, Donald Irving, Michael Mahan, Anthony Mercadante, Frank O'Donnell, Carol Vittorioso

Trustees absent: Martin F. Connors, Jr., Gladys Rodriguez-Parker, Cynthia Stevens

Board of Directors present: President Robert V. Antonucci, Todd Black, Cathy Daggett, John Dombrowski, David Rodgers, Karen Spinelli, Gregory Wheeler

Board of Directors via phone: Marc Bingham

Board of Directors absent: Gina Cuccovia-Simoneau, Cheryl Gaudreau, William Heitin, Paul Maguire, John O'Brien, Joseph Sova, David Celuzza, Scott Foster, Richard Healey

Also Present: Jessica Augat, Robin Bowen, Jay Bry, Stanley Bucholc, Cathy Canney, Gail Doiron, Carolyn Garrahan, Christopher Hendry, Mary Landi, Kelli Lundgren, Lisa Moison, Jessica Murdoch, Andre Ravenelle, Linda Richelson, Annette Sullivan, Steven Swartz, Paul Weizer

The Foundation Board of Directors meeting was called to order by President Antonucci at 8:01 a.m.
The Board of Trustees meeting was called to order by Chairman Carol Vittorioso at 8:01 a.m.

Chairman Vittorioso opened the meeting requesting any public comments. President Antonucci took the opportunity to welcome Andre Ravenelle, Superintendent of the Fitchburg Public Schools, to the meeting. Everyone then introduced themselves.

The consideration of the Board of Trustees minutes from January 30, 2014, was presented. Upon a motion duly noted by Mr. Mercadante and seconded by Mr. Gregoire, it was

Voted: via roll call to approve the minutes of the meeting held on January 30, 2014 as presented.
(8-0)

The consideration of the Foundation Board of Directors minutes from October 9, 2013, was presented. Upon a motion duly noted by Mr. Rodgers and seconded by Ms. Spinelli, it was voted to approve the minutes of the meeting held on October 9, 2013, as presented.

Mr. Steven Swartz, Chief Information Officer for Fitchburg State University, addressed the group. He began a presentation on BoardDocs. Mr. Swartz explained that we are looking to move forward electronically for the board books. BoardDocs will allow us to pre-publish the board packet online so board members won't get a paper version. The software will contain an archive of all the documents. It is web-based, so the information is accessible from anywhere. There is a private section and a public section. Mr. Swartz introduced Laura Vautour, BoardDocs representative, who had joined the meeting via the web and was going to give everyone a high level look at the program. She demonstrated how the board member would access the board packet, beginning with the agenda, and then the attachments which are the other parts of the packet. You can make notes ahead of time and access them later, because the information is in the cloud. Searching for information is easy and attachments are searched as well. Mr. Swartz informed the group that someone from BoardDocs will come to FSU to train, and we will have a train-the-trainer model as well. Mr. O'Donnell asked if BoardDocs syncs with Outlook. Ms. Vautour responded that currently it does not; however, later this year it will. The information was well received by the trustees.

Mr. Swartz reviewed the laws pertaining to the email retention policy and procedures for the trustees. Fitchburg State email is archived automatically on the university-owned system. If a trustee uses another email system to communicate during the course of their university duties, however, the trustee must retain those pertinent emails. Mr. Swartz gave further details and examples of emails that need to be saved in order to be in compliance with the Massachusetts archive law. There was some discussion and Mr. Swartz answered questions from board members.

Mr. Swartz presented the state university system commercials for everyone to view. Each university contributed funds to buy time for the commercials to air on television and radio. The consensus is that it has worked out well.

Carol Vittorioso introduced Nathan Gregoire and Kelli Lundgren to talk about their alternative spring break experience. The group of 23 students and 2 advisors went to Mississippi for a Habitat for Humanity project. Mr. Gregoire had a PowerPoint presentation that showed the group helping to restore existing housing. After the work day, the group had time for some fun. ASB raises money through fundraisers throughout the year to fund the trip. In addition, each student has to raise \$400 themselves. Mr. Gregoire said it was a very rewarding trip. Dr. Antonucci thanked the advisors for their dedication to the students and the great job they did.

Cathy Canney, Dean of Graduate and Continuing Education, gave some background on the Graduate and Continuing Education Program. She then introduced Linda Richelson, Director of the Center for Professional Studies, and Lisa Moison, Assistant Director of Extended Campus & Professional Studies, who began the presentation on the center for professional studies and extended campus. The presentation included information on partnerships across the Commonwealth; GCE enrollment and revenue, including contributors to FY14 revenue; institutes and workshops; sheltered English immersion course enrollments; degree partners; extended campus professional development partners; extended campus enrollment and revenue; new partners; grants; university collaborations; adult learning in the Fitchburg area (ALFA); community members who use our facility; and what is on the horizon moving forward. There was some discussion on the ALFA program and the growth it has seen since its inception in 2004 (57 students) to 2014 (1222 students). ALFA offered 80 courses over last fall and this spring; each course is only \$25.00. It was also noted that the GCE facility, which is located on Main Street in downtown Fitchburg, hosted 322 meetings last year. The facility is used by many organizations. Andre Ravenelle, Superintendent of the Fitchburg Public Schools, expressed his appreciation to FSU for hosting the area superintendents' monthly breakfast meetings.

Audit Committee Chair Ms. Karen Spinelli, addressed the joint boards to provide an informational review of the 990 Tax Reviews for the FSU Foundation, as well as the FSU Foundation Supporting Organization. Ms. Spinelli gave a

brief overview of the content contained within the documents and then opened the floor for questions. President Antonucci noted that it is important to review this information each year.

President Antonucci pointed out the personnel notifications section in the book, which included new hires, a rehire, a promotion, a leave, a retirement, resignations, reappointments, and the sabbaticals that were voted on at the previous meeting. He also noted that there were two non-tenure notifications. The president mentioned that Jenny Fielding has accepted a position with another institution and will be leaving FSU.

President Antonucci then announced that there were twelve faculty members for whom he was recommending tenure.

Upon a motion duly noted by Ms. Clementi and seconded by Mr. Mahan, it was

Voted: via roll call to approve tenure for the faculty members recommended by President Antonucci.
(8-0)

President Antonucci directed everyone's attention to the documents at the end of their book. Those documents included:

- Fitchburg State University financial statements
- A memorandum from Commissioner Freeland regarding the nursing and allied health initiative grant
- A letter from a Family First participant
- Article IX – Tenure
- Non-FSU owned E-mail retention policy and procedure
- Top 10 ways technology can help you flyer
- Various newspaper articles

President Antonucci announced that Susan Wornick will not be this year's commencement speaker, as she discovered that she has a conflict on that date. While not yet confirmed, it is anticipated we will have an alumnus as our speaker.

The President's Reception is scheduled for this coming Saturday, March 26, for all accepted students.

President Antonucci discussed the Landry Arena issue. The feasibility study is currently underway. The university has put a lot of money into the arena since it acquired the Civic Center. There is still a lot that needs to be done to make it fully usable and handicapped accessible. The president stated that Landry won't close, but it may be used as an inside recreational facility or other alternate use.

The president reported that the university's NEASC response has been submitted.

The Student Government Association has a new structure. Mr. Gregoire will not be the student trustee representative next year. There are two other students running for that position.

Ms. Vittorioso commented on the visit from Representative Warren and said she felt the students asked excellent questions.

Ms. Clementi stated that she would like to see the university offer more programs off campus with travel opportunities, perhaps pairing students with teachers. Dr. Weizer gave information on the recent passport scholarship program.

Ms. Clementi thanked Dr. Bowen for instituting the Family First Program, which has been very successful and offers a wonderful opportunity for the community.

Mr. Rodgers thanked the president and everyone at the university for all their hard work on behalf of the students and the community.

President Antonucci introduced Ms. Jessica Augat to the committee. Ms. Augat is the Interim Director of Special Events.

With no further business before the Boards, the meeting was adjourned at 9:15 a.m.

Respectfully Submitted,

Anna Maria Clementi, Clerk
Board of Trustees

Fitchburg State University is committed to excellence in teaching and learning and blends liberal arts and sciences and professional programs within a small college environment. Our comprehensive public university prepares students to lead, serve, and succeed by fostering lifelong learning and civic and global responsibility. A Fitchburg State education extends beyond our classrooms to include residential, professional, and co-curricular opportunities. As a community resource, we provide leadership and support for the economic, environmental, social, and cultural needs of North Central Massachusetts and the Commonwealth.